

**QUARTERLY STATEMENT**

OF THE

**BRIGHTHOUSE LIFE INSURANCE  
COMPANY OF NY**

OF THE STATE OF

**NEW YORK**

TO THE

INSURANCE DEPARTMENT

OF THE

STATE OF

**FOR THE QUARTER  
ENDED MARCH 31, 2021**

LIFE AND ACCIDENT AND HEALTH

**2021**



LIFE AND ACCIDENT AND HEALTH COMPANIES – ASSOCIATION EDITION

# QUARTERLY STATEMENT

AS OF MARCH 31, 2021  
OF THE CONDITION AND AFFAIRS OF THE

## BRIGHOUSE LIFE INSURANCE COMPANY OF NY

NAIC Group Code 4932 4932 NAIC Company Code 60992 Employer's ID Number 13-3690700  
(Current) (Prior)

Organized under the Laws of New York State of Domicile or Port of Entry New York

Country of Domicile United States of America

Incorporated/Organized 12/31/1992 Commenced Business 03/12/1993

Statutory Home Office 285 Madison Avenue New York, NY 10017  
(Street and Number) (City or Town, State and Zip Code)

Main Administrative Office 285 Madison Avenue  
(Street and Number)  
New York, NY 10017 212-578-2211  
(City or Town, State and Zip Code) (Area Code) (Telephone Number)

Mail Address 12802 Tampa Oaks Boulevard, Suite 447 Temple Terrace, FL 33637  
(Street and Number or P.O. Box) (City or Town, State and Zip Code)

Primary Location of Books and Records 12802 Tampa Oaks Boulevard, Suite 447  
(Street and Number)

Temple Terrace, FL 33637 980-949-4100  
(City or Town, State and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.brighthousefinancial.com

Statutory Statement Contact Timothy Lashoan Shaw 980-949-4100  
(Name) (Area Code) (Telephone Number)

tshaw1@brighthousefinancial.com 813-615-9468  
(Email Address) (Fax Number)

### OFFICERS

Chairman of the Board,  
President and Chief  
Executive Officer CONOR ERNAN MURPHY

Vice President and  
Secretary DANIEL BURT ARRINGTON

Vice President and  
Treasurer JANET MARIE MORGAN

### OTHER

LYNN ANN DUMAIS  
Vice President and Chief Financial Officer

RYAN ANTHONY FUHS  
Vice President and Appointed Actuary

### DIRECTORS OR TRUSTEES

KIMBERLY ANNE BERWANGER  
CONOR ERNAN MURPHY

DAVID WILLIAM CHAMBERLIN  
MAYER nmN NAIMAN

RICHARD ANDREW HEMMINGS  
RICHARD CARL PEARSON

DOUGLAS ADRIAN RAYVID

State of North Carolina  
County of Mecklenburg } SS

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions there from for the period ended, and have been completed in accordance with the NAIC Quarterly Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Conor Murphy  
CONOR ERNAN MURPHY  
Chairman of the Board, President and  
Chief Executive Officer

D. Burt Arrington  
DANIEL BURT ARRINGTON  
Vice President and Secretary

Subscribed and sworn to before me this  
13th  
day of April, 2021.

Deborah L. Matera  
Notary for Murphy & Arrington

DEBORAH L. MATERA  
Electronic Notary Public  
Mecklenburg County  
North Carolina  
Commission Expires 6/25/2025

- a. Is this an original filing? Yes [X] No [ ]  
b. If no,  
1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	1,788,849,417		1,788,849,417	1,677,684,602
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....			0	
3. Mortgage loans on real estate:				
3.1 First liens.....	302,468,159		302,468,159	323,658,419
3.2 Other than first liens.....	8,000,000		8,000,000	8,000,000
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....275,383,224), cash equivalents (\$.....0) and short-term investments (\$.....31,548,919).....	306,932,143		306,932,143	220,170,390
6. Contract loans (including \$.....0 premium notes).....	51,604		51,604	190,588
7. Derivatives.....	343,379,488		343,379,488	264,307,435
8. Other invested assets.....	16,044,177		16,044,177	11,055,603
9. Receivables for securities.....	51,441,935		51,441,935	1,644,005
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	3,744	0	3,744	444,526
12. Subtotals, cash and invested assets (Lines 1 to 11).....	2,817,170,667	0	2,817,170,667	2,507,155,568
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	17,197,893		17,197,893	15,464,654
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	658,045	238,552	419,493	294,855
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	1,602,184		1,602,184	1,652,696
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	20,657,886		20,657,886	29,262,929
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....	105,498,526		105,498,526	82,040,994
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	14,918,281		14,918,281	0
18.2 Net deferred tax asset.....	63,733,994	63,733,994	0	0
19. Guaranty funds receivable or on deposit.....	300,000		300,000	300,000
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	4,611,661		4,611,661	6,745,768
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	12,584,076	1,790	12,582,286	8,743,277
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	3,058,933,213	63,974,336	2,994,958,877	2,651,660,741
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	7,442,351,282		7,442,351,282	7,168,202,448
28. Total (Lines 26 and 27).....	10,501,284,495	63,974,336	10,437,310,159	9,819,863,189

## DETAILS OF WRITE-INS

1101. Deposits in connection with investments.....	3,744		3,744	444,526
1102. ....			0	
1103. ....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	3,744	0	3,744	444,526
2501. Miscellaneous.....	10,183,086	1,790	10,181,296	7,549,260
2502. Advance ceded premiums.....	1,160,051		1,160,051	1,194,017
2503. Receivable from third party administrator.....	1,240,939		1,240,939	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	12,584,076	1,790	12,582,286	8,743,277

# Brighthouse Life Insurance Company of NY

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$.....1,529,767,015 less \$.....0 included in Line 6.3 (including \$.....0 Modco Reserve).....	1,529,767,015	1,503,645,341
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....		
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....	16,377,214	16,788,191
4. Contract claims:		
4.1 Life.....	276,608	626,852
4.2 Accident and health.....		
5. Policyholders' dividends/refunds to members \$.....0 and coupons \$.....0 due and unpaid.....		
6. Provision for policyholders' dividends/refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholder's dividends/refunds to members apportioned for payment (including \$.....0 Modco).....		
6.2 Policyholder's dividends/refunds to members not yet apportioned (including \$.....0 Modco).....		
6.3 Coupons and similar benefits (including \$.....0 Modco).....		
7. Amount provisionally held for deferred dividend policies not included in Line 6.....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....	149,562	88,082
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts.....		
9.2 Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act.....		
9.3 Other amounts payable on reinsurance, including \$.....0 assumed and \$.....150,631,692 ceded.....	150,631,692	135,370,746
9.4 Interest Maintenance Reserve.....	8,841,263	8,396,397
10. Commissions to agents due or accrued - life and annuity contracts \$.....2,100,864, accident and health \$.....0 and deposit-type contract funds \$.....0.....	2,100,864	2,190,605
11. Commissions and expense allowances payable on reinsurance assumed.....		
12. General expenses due or accrued.....	1,253,828	3,021,345
13. Transfers to Separate Accounts due or accrued (net) (including \$.....(13,378,046) accrued for expense allowances recognized in reserves, net of reinsured allowances).....	(9,653,764)	(9,170,116)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes.....	400,000	400,000
15.1 Current federal and foreign income taxes, including \$.....0 on realized capital gains (losses).....	0	2,632,514
15.2 Net deferred tax liability.....		
16. Unearned investment income.....		
17. Amounts withheld or retained by reporting entity as agent or trustee.....	8,658	8,658
18. Amounts held for agents' account, including \$.....0 agents' credit balances.....		
19. Remittances and items not allocated.....	8,753,273	1,524,414
20. Net adjustment in assets and liabilities due to foreign exchange rates.....		
21. Liability for benefits for employees and agents if not included above.....		
22. Borrowed money \$.....0 and interest thereon \$.....0.....		
23. Dividends to stockholders declared and unpaid.....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve.....	29,478,952	25,948,199
24.02 Reinsurance in unauthorized and certified (\$.....0) companies.....	239,110	239,110
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....		
24.04 Payable to parent, subsidiaries and affiliates.....	21,606,012	11,958,315
24.05 Drafts outstanding.....		
24.06 Liability for amounts held under uninsured plans.....		
24.07 Funds held under coinsurance.....	434,012,231	422,698,513
24.08 Derivatives.....	126,204,075	95,411,532
24.09 Payable for securities.....	154,146,039	786
24.10 Payable for securities lending.....		
24.11 Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	168,582,979	139,752,124
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).....	2,643,175,611	2,361,531,608
27. From Separate Accounts statement.....	7,431,351,989	7,085,430,879
28. Total liabilities (Lines 26 and 27).....	10,074,527,600	9,446,962,487
29. Common capital stock.....	2,000,000	2,000,000
30. Preferred capital stock.....		
31. Aggregate write-ins for other-than-special surplus funds.....	0	0
32. Surplus notes.....		
33. Gross paid in and contributed surplus.....	470,327,949	470,327,949
34. Aggregate write-ins for special surplus funds.....	0	0
35. Unassigned funds (surplus).....	(109,545,390)	(99,427,247)
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 29 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 30 \$.....0).....		
37. Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including \$.....10,999,293 in Separate Accounts Statement).....	360,782,559	370,900,702
38. Totals of Lines 29, 30 and 37.....	362,782,559	372,900,702
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3).....	10,437,310,159	9,819,863,189

### DETAILS OF WRITE-INS

2501. Cash collateral received on derivatives.....	164,755,000	136,544,000
2502. Miscellaneous.....	3,594,129	3,118,178
2503. Derivative instruments expense payable.....	233,850	89,946
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	168,582,979	139,752,124
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page.....	0	0
3199. Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above).....	0	0
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above).....	0	0

**SUMMARY OF OPERATIONS**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	229,439,157	155,910,781	646,331,268
2. Considerations for supplementary contracts with life contingencies.....	1,576,819	1,634,289	10,714,512
3. Net investment income.....	18,100,605	20,737,987	77,943,188
4. Amortization of Interest Maintenance Reserve (IMR).....	431,714	71,410	835,083
5. Separate Accounts net gain from operations excluding unrealized gains or losses.....	(71,772,276)	116,504,850	(68,490,386)
6. Commissions and expense allowances on reinsurance ceded.....	7,696,658	7,497,271	29,338,781
7. Reserve adjustments on reinsurance ceded.....	(87,178,457)	(90,284,028)	(262,325,761)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....	29,304,878	27,158,026	112,006,019
8.2 Charges and fees for deposit-type contracts.....	0	0	2,628
8.3 Aggregate write-ins for miscellaneous income.....	6,708,793	6,594,153	25,956,753
9. Totals (Lines 1 to 8.3).....	134,307,891	245,824,739	572,312,085
10. Death benefits.....	3,860,895	(17,797,011)	(15,524,507)
11. Matured endowments (excluding guaranteed annual pure endowments).....			
12. Annuity benefits.....	16,271,029	13,357,835	60,559,221
13. Disability benefits and benefits under accident and health contracts.....	35,634	4,494	198,225
14. Coupons, guaranteed annual pure endowments and similar benefits.....			
15. Surrender benefits and withdrawals for life contracts.....	25,215,690	25,551,076	83,098,837
16. Group conversions.....			
17. Interest and adjustments on contract or deposit-type contract funds.....	210,034	109,204	1,071,038
18. Payments on supplementary contracts with life contingencies.....	1,171,186	973,389	4,395,059
19. Increase in aggregate reserves for life and accident and health contracts.....	26,121,674	(6,703,424)	330,039,775
20. Totals (Lines 10 to 19).....	72,886,142	15,495,563	463,837,648
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	20,901,571	16,568,476	67,577,098
22. Commissions and expense allowances on reinsurance assumed.....			
23. General insurance expenses and fraternal expenses.....	14,283,414	14,822,036	61,331,406
24. Insurance taxes, licenses and fees, excluding federal income taxes.....	683,879	716,263	(408,201)
25. Increase in loading on deferred and uncollected premiums.....	(64,343)	14,890	10,220
26. Net transfers to or (from) Separate Accounts net of reinsurance.....	142,462,771	73,068,471	391,458,944
27. Aggregate write-ins for deductions.....	2,693,327	4,277,977	17,778,054
28. Totals (Lines 20 to 27).....	253,846,761	124,963,676	1,001,585,169
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28).....	(119,538,870)	120,861,063	(429,273,084)
30. Dividends to policyholders and refunds to members.....			
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30).....	(119,538,870)	120,861,063	(429,273,084)
32. Federal and foreign income taxes incurred (excluding tax on capital gains).....	(17,994,785)	24,629,502	(2,877,181)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32).....	(101,544,085)	96,231,561	(426,395,903)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$.....214,975 (excluding taxes of \$.....233,015 transferred to the IMR).....	129,438,246	(10,075,913)	36,282,155
35. Net income (Line 33 plus Line 34).....	27,894,161	86,155,648	(390,113,748)
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
36. Capital and surplus, December 31, prior year.....	372,900,702	578,899,747	578,899,747
37. Net income (Line 35).....	27,894,161	86,155,648	(390,113,748)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$.....(6,400,535).....	(24,141,527)	(186,655,122)	125,088,237
39. Change in net unrealized foreign exchange capital gain (loss).....	63,334	(1,103,592)	876,111
40. Change in net deferred income tax.....	(18,457,809)	2,721,040	71,439,132
41. Change in nonadmitted assets.....	12,123,037	(52,366,622)	(37,851,616)
42. Change in liability for reinsurance in unauthorized and certified companies.....	0	3,374	(47,218)
43. Change in reserve on account of change in valuation basis, (increase) or decrease.....	0	0	46,883,677
44. Change in asset valuation reserve.....	(3,530,753)	(3,638,368)	(9,518,489)
45. Change in treasury stock.....			
46. Surplus (contributed to) withdrawn from Separate Accounts during period.....	0	0	(151,000,000)
47. Other changes in surplus in Separate Accounts Statement.....	0	0	151,000,000
48. Change in surplus notes.....			
49. Cumulative effect of changes in accounting principles.....			
50. Capital changes:			
50.1 Paid in.....			
50.2 Transferred from surplus (Stock Dividend).....			
50.3 Transferred to surplus.....			
51. Surplus adjustment:			
51.1 Paid in.....	0	0	27,700,000
51.2 Transferred to capital (Stock Dividend).....			
51.3 Transferred from capital.....			
51.4 Change in surplus as a result of reinsurance.....	(4,068,586)	(4,068,589)	(16,274,346)
52. Dividends to stockholders.....			
53. Aggregate write-ins for gains and losses in surplus.....	0	0	(24,180,785)
54. Net change in capital and surplus (Lines 37 through 53).....	(10,118,143)	(158,952,231)	(205,999,045)
55. Capital and surplus as of statement date (Lines 36 + 54).....	362,782,559	419,947,516	372,900,702
<b>DETAILS OF WRITE-INS</b>			
08.301. Management and service fee income.....	6,611,707	5,993,715	24,170,616
08.302. Miscellaneous.....	97,086	600,438	1,786,137
08.303. ....			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	6,708,793	6,594,153	25,956,753
2701. Interest credited to reinsurers.....	4,075,528	4,277,977	17,777,908
2702. Miscellaneous.....	(1,382,201)	0	146
2703. ....			
2798. Summary of remaining write-ins for Line 27 from overflow page.....	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above).....	2,693,327	4,277,977	17,778,054
5301. Reclassification of dividend to unassigned funds.....	0	0	(27,700,000)
5302. Prior period adjustment-tax provision.....	0	0	3,519,215
5303. ....			
5398. Summary of remaining write-ins for Line 53 from overflow page.....	0	0	0
5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above).....	0	0	(24,180,785)

# Brighthouse Life Insurance Company of NY

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	240,140,579	173,732,503	678,680,107
2. Net investment income.....	16,855,993	20,617,754	80,016,812
3. Miscellaneous income.....	16,468,156	16,579,554	158,564,831
4. Total (Lines 1 through 3).....	273,464,728	210,929,811	917,261,750
5. Benefit and loss related payments.....	119,390,850	116,118,444	422,871,840
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	142,946,419	155,401,546	624,885,186
7. Commissions, expenses paid and aggregate write-ins for deductions.....	40,715,246	38,197,861	147,936,528
8. Dividends paid to policyholders.....	.....	.....	.....
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....	4,000	3,372	28,680,993
10. Total (Lines 5 through 9).....	303,056,515	309,721,223	1,224,374,547
11. Net cash from operations (Line 4 minus Line 10).....	(29,591,787)	(98,791,412)	(307,112,797)
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	32,798,352	143,745,620	389,288,171
12.2 Stocks.....	.....	.....	.....
12.3 Mortgage loans.....	20,600,529	22,966,150	42,554,549
12.4 Real estate.....	.....	.....	.....
12.5 Other invested assets.....	.....	.....	.....
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	(4,741)	99,896	67,606
12.7 Miscellaneous proceeds.....	234,741,766	26,254,580	141,383,762
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	288,135,906	193,066,246	573,294,088
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	143,793,249	18,211,699	165,465,075
13.2 Stocks.....	.....	.....	.....
13.3 Mortgage loans.....	0	0	138,290
13.4 Real estate.....	.....	.....	.....
13.5 Other invested assets.....	4,992,800	0	3,473,640
13.6 Miscellaneous applications.....	49,797,930	95,882,606	359,184
13.7 Total investments acquired (Lines 13.1 to 13.6).....	198,583,979	114,094,305	169,436,189
14. Net increase or (decrease) in contract loans and premium notes.....	(138,984)	800	190,588
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	89,690,911	78,971,141	403,667,311
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	.....	.....	.....
16.2 Capital and paid in surplus, less treasury stock.....	.....	.....	.....
16.3 Borrowed funds.....	0	100,000,000	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	(410,977)	271,141	(133,580)
16.5 Dividends to stockholders.....	.....	.....	.....
16.6 Other cash provided (applied).....	27,073,606	(29,716,064)	(32,987,834)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	26,662,629	70,555,077	(33,121,414)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	86,761,753	50,734,806	63,433,100
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	220,170,390	156,737,290	156,737,290
19.2 End of period (Line 18 plus Line 19.1).....	306,932,143	207,472,096	220,170,390
<b>Note: Supplemental disclosures of cash flow information for non-cash transactions:</b>			
20.0001 Security exchanges.....	909,000	0	13,074,106
20.0002 Capitalized interest on bonds.....	53,644	0	480,856
20.0003 Reclassification of dividend to unassigned funds.....	0	0	27,700,000

**EXHIBIT 1**

**DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life.....			
2. Ordinary life insurance.....	16,077,597	17,499,291	70,413,099
3. Ordinary individual annuities.....	277,836,027	199,696,502	818,552,374
4. Credit life (group and individual).....			
5. Group life insurance.....			
6. Group annuities.....			
7. A&H - group.....			
8. A&H - credit (group and individual).....			
9. A&H - other.....			
10. Aggregate of all other lines of business.....	0	0	0
11. Subtotal (Lines 1 through 10).....	293,913,624	217,195,793	888,965,473
12. Fraternal ( Fraternal Benefit Societies Only).....			
13. Subtotal (Lines 11 through 12).....	293,913,624	217,195,793	888,965,473
14. Deposit-type contracts.....	0	0	48,036
15. Total (Lines 13 and 14).....	293,913,624	217,195,793	889,013,509

**DETAILS OF WRITE-INS**

1001. ....			
1002. ....			
1003. ....			
1098. Summary of remaining write-ins for Line 10 from overflow page.....	0	0	0
1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above).....	0	0	0

**NOTES TO THE FINANCIAL STATEMENTS****1. Summary of Significant Accounting Policies****A. Accounting Practices**

Brighthouse Life Insurance Company of NY (the “Company”) presents the accompanying financial statements on the basis of accounting practices prescribed or permitted (“NY SAP”) by the State of New York (“New York”) Department of Financial Services (the “Department” or “NYDFS”).

The Department recognizes only the statutory accounting practices prescribed or permitted by New York in determining and reporting the financial condition and results of operations of an insurance company, in determining its solvency under the New York Insurance Law. In 2001, the National Association of Insurance Commissioners (“NAIC”) *Accounting Practices and Procedures Manual* (“NAIC SAP”) was adopted as a component of NY SAP.

New York has adopted certain prescribed accounting practices that differ from those found in NAIC SAP, some of which affect the financial statements of the Company. A reconciliation of the Company’s net income (loss) and capital and surplus between NY SAP and NAIC SAP is as follows:

	SSAP Number <sup>(1)</sup>	Financial Statement Page	Financial Statement Line Number	For the Three Months Ended March 31, 2021	For the Year Ended December 31, 2020
Net income (loss), NY SAP				\$ 27,894,161	\$ (390,113,748)
State prescribed practices:					
Deferred annuities using continuous Commissioners’ Annuity Reserve Valuation Method (“CARVM”)	51	3,4	1,19	(626,650)	750,168
NYDFS Circular Letter No. 11 (2010) impact on deferred premiums	61	2,4	1,2,15	(70,467)	(20,217,284)
NYDFS Seventh Amendment to Regulation No. 172 impact on admitted unearned reinsurance premium	61	2,4	1,2,15	33,966	13,034,252
State permitted practices: NONE				—	—
Net income (loss), NAIC SAP				<u>\$ 27,231,010</u>	<u>\$ (396,546,612)</u>
				<b>March 31, 2021</b>	<b>December 31, 2020</b>
Statutory capital and surplus, NY SAP				\$ 362,782,559	\$ 372,900,702
State prescribed practices:					
Deferred annuities using continuous CARVM	51	3,4	1,19	33,121,181	33,747,831
NYDFS Circular Letter No. 11 (2010) impact on deferred premiums	61	2,4	1,2,15	307,634	378,101
NYDFS Seventh Amendment to Regulation No. 172 impact on admitted unearned reinsurance premium	61	2,4	1,2,15	(1,160,051)	(1,194,017)
State permitted practices: NONE				—	—
Statutory capital and surplus, NAIC SAP				<u>\$ 395,051,323</u>	<u>\$ 405,832,617</u>

<sup>(1)</sup> Statement of Statutory Accounting Principles (“SSAP”)

**B. No significant change.****C. Accounting Policy**

(1) No significant change.

(2) Bonds not backed by other loans are generally stated at amortized cost unless they have a NAIC rating designation of 6, which are stated at the lower of amortized cost or fair value. Bonds not backed by other loans are amortized using the scientific method.

(3-5) No significant change.

(6) Loan-backed and structured securities are stated at either amortized cost or the lower of amortized cost or fair market value. Amortized cost is determined using the interest method and includes anticipated prepayments. The retrospective adjustment method is used to determine the amortized cost for the majority of loan-backed and structured securities. For certain securities, the prospective adjustments methodology is utilized, including interest-only securities and securities that have experienced an other-than-temporary impairment (“OTTI”).

(7-13) No significant change.

**D. Going Concern**

Management does not have any substantial doubt about the Company’s ability to continue as a going concern.



**NOTES TO THE FINANCIAL STATEMENTS****2. Accounting Changes and Corrections of Errors**

## Accounting Changes

Effective January 1, 2021, the Company adopted modifications to SSAP No. 86, *Derivatives*. The revised guidance requires unamortized deferred premiums on derivatives be presented separately from the remainder of the derivative instrument and that amortization of any such deferred premiums not be reflected as unrealized gains or losses. The adoption of this guidance resulted in a \$82,845,522 increase in derivative assets, a \$8,054,638 increase in derivative liabilities, a \$50,276,672 increase in receivable for securities, and a \$125,067,556 increase in payable for securities. The impact to surplus was not material.

## Correction of Errors

No significant change.

**3. Business Combinations and Goodwill**

No significant change.

**4. Discontinued Operations**

No significant change.

**5. Investments**

A-C. No significant change.

## D. Loan-backed Securities

- (1) Prepayment assumptions were obtained from published broker dealer values and internal estimates.
- (2)
  - a. The Company did not recognize any OTTI on the basis of the intent to sell during the three months ended March 31, 2021.
  - b. The Company did not recognize any OTTI on the basis of the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis during the three months ended March 31, 2021.
  - c. Impairments where the present value of cash flows expected to be collected is less than the amortized cost basis of the security are shown in Note 5D(3).
- (3) As of March 31, 2021, the Company has not recognized any OTTI on its loan-backed securities based on cash flow analysis.
- (4) At March 31, 2021, the estimated fair value and gross unrealized losses for loan-backed securities, aggregated by length of time the securities have been in a continuous loss position were as follows:
  - a. The aggregate amount of unrealized losses:
 

1. Less than 12 Months	\$	1,257,575
2. 12 Months or Longer	\$	—
  - b. The aggregate related fair value of securities with unrealized losses:
 

1. Less than 12 Months	\$	58,836,818
2. 12 Months or Longer	\$	—
- (5) The Company performs a regular evaluation, on a security-by-security basis, of its securities holdings in accordance with its OTTI policy in order to evaluate whether such investments are other than temporarily impaired. Management considers a wide range of factors about the security issuer and uses its best judgment in evaluating the cause of the decline in the estimated fair value of the security and in assessing the prospects for near-term recovery. Factors considered include fundamentals of the industry and geographic area in which the security issuer operates, as well as overall macroeconomic conditions. Projected future cash flows are estimated using assumptions derived from management's best estimates of likely scenario-based outcomes after giving consideration to a variety of variables that include, but are not limited to: (i) general payment terms of the security; (ii) the likelihood that the issuer can service the scheduled interest and principal payments; (iii) the quality and amount of any credit enhancements; (iv) the security's position within the capital structure of the issuer; (v) possible corporate restructurings or asset sales by the issuer; and (vi) changes to the rating of the security or the issuer by rating agencies. Additional considerations are made when assessing the unique features that apply to certain loan-backed securities including, but are not limited to: (i) the quality of underlying collateral; (ii) expected prepayment speeds; (iii) current and forecasted loss severity; (iv) consideration of the payment terms of the underlying assets backing the security; and (v) the payment priority within the tranche structure of the security. For loan-backed securities in an unrealized loss position as summarized in the immediately preceding

**NOTES TO THE FINANCIAL STATEMENTS**

table, the Company does not have the intent to sell the securities, believes it has the intent and ability to retain the security for a period of time sufficient to recover the carrying value of the security and based on the cash flow modeling and other considerations as described above, believes these securities are not other than temporarily impaired.

E-I. Dollar Repurchase, Securities Lending, Repurchase and Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing and as a Sale

The Company did not have any dollar repurchase, securities lending, repurchase or reverse repurchase agreements transactions accounted for as secured borrowing or as a sale during the three months ended March 31, 2021.

J-K. No significant change.

L. Restricted Assets

(1) Restricted Assets (Including Pledged)

Information on the Company's investment in restricted assets as of March 31, 2021, was as follows:

Restricted Asset Category	Gross Restricted											
	2021										Percentage	
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	
	Total General Account	General Account Supporting Separate Account Activity <sup>(a)</sup>	Total Separate Account Restricted Assets	Separate Account Assets Supporting General Account Activity <sup>(b)</sup>	March 31, 2021 (1 plus 3)	December 31, 2020	Increase/ (Decrease) (5 minus 6)	Total Non Admitted Restricted	Total Admitted Restricted (5 minus 8)	Gross Restricted to Total Assets	Admitted Restricted to Total Admitted Assets	
Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	—%	—%	
Collateral held under security lending agreements	—	—	—	—	—	—	—	—	—	—	—	
Subject to repurchase agreements	—	—	—	—	—	—	—	—	—	—	—	
Subject to reverse repurchase agreements	—	—	—	—	—	—	—	—	—	—	—	
Subject to dollar repurchase agreements	—	—	—	—	—	—	—	—	—	—	—	
Subject to dollar reverse repurchase agreements	—	—	—	—	—	—	—	—	—	—	—	
Placed under option contracts	—	—	—	—	—	—	—	—	—	—	—	
Letter stock or securities restricted as to sale	—	—	—	—	—	—	—	—	—	—	—	
Federal Home Loan Bank ("FHLB") capital stock	—	—	—	—	—	—	—	—	—	—	—	
On deposit with states	1,373,218	—	—	—	1,373,218	1,375,373	(2,155)	—	1,373,218	0.01%	0.01%	
On deposit with other regulatory bodies	—	—	—	—	—	—	—	—	—	—	—	
Pledged collateral to FHLB (including assets backing funding agreements)	—	—	—	—	—	—	—	—	—	—	—	
Pledged as collateral not captured in other categories	35,586,458	—	—	—	35,586,458	4,722,757	30,863,701	—	35,586,458	0.34%	0.34%	
Other restricted assets	—	—	—	—	—	—	—	—	—	—	—	
<b>Total restricted assets</b>	<b>\$ 36,959,676</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ 36,959,676</b>	<b>\$ 6,098,130</b>	<b>\$ 30,861,546</b>	<b>\$ —</b>	<b>\$ 36,959,676</b>	<b>0.35%</b>	<b>0.35%</b>	

(a) Subset of column 1.

(b) Subset of column 3.

**NOTES TO THE FINANCIAL STATEMENTS**

(2) Details on the Company's assets pledged as collateral, not captured in other categories, as of March 31, were as follows:

Restricted Asset Category	Gross Restricted							Percentage		
	2021							(8)	(9)	(10)
	(1)	(2)	(3)	(4)	(5)	(6)	(7)			
Total General Account	General Account Supporting Separate Account Activity <sup>(a)</sup>	Total Separate Account Restricted Assets	Separate Account Assets Supporting General Account Activity <sup>(b)</sup>	March 31, 2021 (1 plus 3)	December 31, 2020	Increase/(Decrease) (5 minus 6)	Total Admitted Restricted	Gross Restricted to Total Assets	Admitted Restricted to Total Admitted Assets	
Derivatives Collateral	\$ 35,586,458	\$ —	\$ —	\$ —	\$ 35,586,458	\$ 4,722,757	\$ 30,863,701	\$ 35,586,458	0.34 %	0.34 %
Total	\$ 35,586,458	\$ —	\$ —	\$ —	\$ 35,586,458	\$ 4,722,757	\$ 30,863,701	\$ 35,586,458	0.34 %	0.34 %

(a) Subset of column 1.

(b) Subset of column 3.

(3) No significant change.

(4) The Company's collateral received and reflected as assets at March 31, 2021, were as follows:

Collateral Assets	Book/Adjusted Carrying Value ("BACV")	Fair Value	% of BACV to Total Assets (Admitted and Nonadmitted)*	% of BACV to Total Admitted Assets**
Cash ***	\$ 197,453,921	\$ 197,453,695	6.5 %	6.6 %
Schedule D, Part 1	—	—	—	—
Schedule D, Part 2, Section 1	—	—	—	—
Schedule D, Part 2, Section 2	—	—	—	—
Schedule B	—	—	—	—
Schedule A	—	—	—	—
Schedule BA, Part 1	—	—	—	—
Schedule DL, Part 1	—	—	—	—
Other	—	—	—	—
Total Collateral Assets	\$ 197,453,921	\$ 197,453,695	6.5 %	6.6 %

\* Column 1 divided by Asset Page, Line 26 (Column 1)

\*\* Column 1 divided by Asset Page, Line 26 (Column 3)

\*\*\* Includes cash equivalents and short-term investments

	Amount	% of Liability to total Liabilities*
Recognized Obligation to Return Collateral Asset	\$ 164,755,000	6.2 %

\* Column 1 divided by Liability Page, Line 26 (Column 1)

#### M. Working Capital Finance Investments

The Company did not have any working capital finance investments as of the three months ended March 31, 2021.

#### N. Offsetting and Netting of Assets and Liabilities

The Company did not have any assets and liabilities which are offset and reported net in accordance with a valid right to offset as of March 31, 2021.

#### O-P. No significant change.

#### Q. Prepayment Penalty and Acceleration Fees

During the three months ended March 31, 2021, the Company had securities sold, redeemed or otherwise disposed of as a result of a callable feature. The number of securities sold, disposed or otherwise redeemed and the aggregate amount of investment income generated as a result of a prepayment penalty and/or acceleration fee is as follows:

	General Account	Separate Account
Number of CUSIPs	5	7
Aggregate Amount of Investment Income	\$ 271,661	\$ 204,511

#### R. Reporting Entity's Share of Cash Pool by Asset Type

The Company did not participate in a cash pool during the three months ended March 31, 2021.

## NOTES TO THE FINANCIAL STATEMENTS

### 6. Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

### 7. Investment Income

No significant change.

### 8. Derivative Instruments

As of March 31, 2021, there were no significant changes in the Company's derivative policy or investments other than those described below.

#### Credit Risk

The Company enters into various collateral arrangements, which may require both the pledging and accepting of collateral in connection with its derivatives.

The table below summarizes the collateral pledged in connection with its over-the-counter ("OTC") derivatives at:

	Securities <sup>(1)</sup>	
	March 31, 2021	December 31, 2020
<b>Variation Margin:</b>		
OTC-bilateral	\$ 35,586,458	\$ 4,722,757

<sup>(1)</sup> Securities pledged as collateral are reported in bonds. Subject to certain constraints, the counterparties are permitted by contract to sell or repledge this collateral.

The table below summarizes the collateral received in connection with its OTC derivatives at:

	Cash <sup>(1)</sup>		Securities <sup>(2)</sup>		Total	
	March 31, 2021	December 31, 2020	March 31, 2021	December 31, 2020	March 31, 2021	December 31, 2020
<b>Variation Margin:</b>						
OTC-bilateral	\$ 164,755,000	\$ 136,544,000	\$ 14,148,509	\$ 34,688,353	\$ 178,903,509	\$ 171,232,353

<sup>(1)</sup> Cash collateral received is reported in cash, cash equivalents and short-term investments and the obligation to return the collateral is reported in aggregate write-ins for liabilities as cash collateral received on derivatives.

<sup>(2)</sup> Securities collateral received is held in separate custodial accounts and is not reflected in the financial statements. These amounts are also reported in Note 16 because the securities are held off-balance sheet.

Certain of the Company's derivative contracts require premiums to be paid at a series of specified future dates over the life of the contract or at maturity.

The table below summarizes the net amount of undiscounted future settled premium payments (receipts), by year, as of March 31, 2021:

Fiscal Year	Net Undiscounted Future Settled Premium Payments (Receipts)
2024	\$ 76,475,860
<b>Total</b>	<b>\$ 76,475,860</b>

The following table summarizes the estimated fair value of the Company's derivatives with future settled premiums and the estimated fair value impact thereof as of:

	March 31, 2021	December 31, 2020
Net undiscounted future premium payments (receipts)	\$ 76,475,860	\$ 76,475,860
Estimated fair value of derivative net assets (liabilities), including discounted future premiums	\$ 158,525,202	\$ 120,559,136
Estimated fair value of derivative net assets (liabilities), excluding discounted future premiums	\$ 233,316,086	\$ 196,176,546

### 9. Income Taxes

No significant change.

**NOTES TO THE FINANCIAL STATEMENTS****10. Information Concerning Parents, Subsidiaries, Affiliates and Other Related Parties**

A-C. No significant change.

D. The company had \$4,611,661 receivable and \$21,606,012 payable with affiliates as of March 31, 2021. The company had \$6,745,768 receivable and \$11,958,315 payable with affiliates as of December 31, 2020. Amounts receivable and payable are expected to be settled within 90 days.

E-O. No significant change.

**11. Debt**

A. No significant change.

B. The Company has not issued any debt to the Federal Home Loan Bank.

**12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

As of March 31, 2021, the Company did not sponsor any retirement plans, deferred compensation plans, postemployment benefit plans or other postretirement plans.

**13. Capital Surplus, Shareholder's Dividend Restrictions and Quasi Reorganizations**

A - I. No significant change.

J. The portion of unassigned funds (surplus) represented by cumulative unrealized gains (losses) was \$148,287,093 at March 31, 2021.

K -M. No significant change.

**14. Contingencies**

No significant change.

**15. Leases**

No significant change.

**16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

(1) The table below summarizes the notional amount of the Company's financial instruments (derivatives that are designated as effective hedging instruments) with off-balance sheet credit risk at:

	Assets		Liabilities	
	March 31, 2021	December 31, 2020	March 31, 2021	December 31, 2020
Foreign Currency Swaps	\$ 23,773,730	\$ 23,724,946	\$ 14,921,406	\$ 10,305,798

(2) No significant change.

(3) The Company may be exposed to credit-related losses in the event of nonperformance by counterparties to derivatives. Generally, the current credit exposure of the Company's derivatives is limited to the net positive estimated fair value of derivatives at the reporting date after taking into consideration the existence of master netting or similar agreements and any collateral received pursuant to such agreements.

The Company manages its credit risk related to derivatives by entering into transactions with creditworthy counterparties and establishing and monitoring exposure limits. The Company's OTC derivative transactions are governed by International Swaps and Derivatives Association, Inc. ("ISDA") Master Agreements which provide for legally enforceable set-off and close-out netting of exposures to specific counterparties in the event of early termination of a transaction, which includes, but is not limited to, events of default and bankruptcy. In the event of an early termination, the Company is permitted to set-off receivables from the counterparty against payables to the same counterparty arising out of all included transactions. All of the Company's ISDA Master Agreements also include Credit Support Annex provisions which may require both the pledging and accepting of collateral in connection with its OTC derivatives.

Off-balance sheet credit exposure is the excess of positive estimated fair value over positive book/adjusted carrying value for the Company's highly effective hedges at the reporting date. All collateral received from counterparties to mitigate credit-related losses is deemed worthless for the purpose of calculating the Company's off-balance sheet credit exposure. The off-balance sheet credit exposure of the Company's swaps was \$1,331,020 and \$2,059,322 at March 31, 2021 and December 31, 2020, respectively.

(4) At March 31, 2021 and December 31, 2020, the estimated fair value of collateral consisting of various securities received by the Company on its OTC-bilateral derivatives as variation margin was \$14,148,509 and \$34,688,353, respectively.

## NOTES TO THE FINANCIAL STATEMENTS

### 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

#### A. Transfers of Receivables Reported as Sales

No significant change.

#### B. Transfer and Servicing of Financial Assets

The Company did not participate in the transfer or servicing of financial assets during the three months ended March 31, 2021.

#### C. Wash Sales

(1) In the course of the Company's asset management, securities are not sold and reacquired within 30 days of the sale date to enhance the Company's yield on its investment portfolio. There may be occasional isolated incidents where wash sales occur.

(2) The Company had no wash sales with an NAIC designation 3 or below or unrated securities during the quarter ended March 31, 2021.

### 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

### 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

### 20. Fair Value Information

#### A. (1) Assets and Liabilities Measured and Reported at Estimated Fair Value at Reporting Date

##### Hierarchy Table

The following table provides information about financial assets and liabilities measured and reported at estimated fair value at:

	March 31, 2021			
	Fair Value Measurements at Reporting Date Using			Total
	Level 1	Level 2	Level 3	
<b>Assets</b>				
Bonds				
Industrial & Miscellaneous	\$ —	\$ 1,439,794	\$ —	\$ 1,439,794
Derivative assets <sup>(1)</sup>				
Interest rate	—	4,846,923	—	4,846,923
Foreign currency exchange rate	—	2,824,796	—	2,824,796
Equity market	—	332,770,722	—	332,770,722
Total derivative assets	—	340,442,441	—	340,442,441
Separate Account assets <sup>(2)</sup>	—	4,989,330,442	—	4,989,330,442
Total assets	<u>\$ —</u>	<u>\$ 5,331,212,677</u>	<u>\$ —</u>	<u>\$ 5,331,212,677</u>
<b>Liabilities</b>				
Derivative liabilities <sup>(1)</sup>				
Foreign currency exchange rate	\$ —	106,726	\$ —	\$ 106,726
Equity market	—	124,754,812	—	124,754,812
Total liabilities	<u>\$ —</u>	<u>\$ 124,861,538</u>	<u>\$ —</u>	<u>\$ 124,861,538</u>

<sup>(1)</sup> Derivative assets and derivative liabilities presented in the table above represent only those derivatives that are carried at estimated fair value. Accordingly, the amounts above exclude highly effective derivatives carried at amortized cost.

<sup>(2)</sup> Separate Account assets are subject to General Account claims only to the extent that the value of such assets exceeds the Separate Account liabilities. Investments (stated generally at estimated fair value) and liabilities of the Separate Accounts are reported separately as assets and liabilities.

**NOTES TO THE FINANCIAL STATEMENTS****Transfers between Levels 1 and 2**

During the quarter ended March 31, 2021, transfers between Levels 1 and 2 were not significant. Transfers between levels are assumed to occur at the beginning of the annual period.

(2) Assets and Liabilities Measured and Reported at Estimated Fair Value at Reporting Date

**Rollforward Table – Level 3 Assets and Liabilities**

There were no assets and liabilities measured and reported at estimated fair value using significant unobservable (Level 3) inputs for the quarter ended March 31, 2021.

**Transfers into or out of Level 3**

During the three months ended March 31, 2021, there were no transfers into or out of Level 3.

(3) Transfers between levels are assumed to occur at the beginning of the annual reporting period.

(4) Assets and Liabilities Measured and Reported at Estimated Fair Value at Reporting Date

When developing estimated fair values, the Company considers three broad valuation techniques: (i) the market approach, (ii) the income approach, and (iii) the cost approach. The Company determines the most appropriate valuation technique to use, given what is being measured and the availability of sufficient inputs, giving priority to observable inputs. The Company categorizes its assets and liabilities measured at estimated fair value into a three-level hierarchy, based on the significant input with the lowest level in its valuation. The input levels are as follows:

Level 1 Unadjusted quoted prices in active markets for identical assets or liabilities. The size of the bid/ask spread is used as an indicator of market activity for fixed maturity securities.

Level 2 Quoted prices in markets that are not active or inputs that are observable either directly or indirectly. These inputs can include quoted prices for similar assets or liabilities other than quoted prices in Level 1, quoted prices in markets that are not active, or other significant inputs that are observable or can be derived principally from or corroborated by observable market data for substantially the full term of the assets or liabilities.

Level 3 Unobservable inputs that are supported by little or no market activity and are significant to the determination of estimated fair value of the assets or liabilities. Unobservable inputs reflect the reporting entity's own assumptions about the assumptions that market participants would use in pricing the asset or liability.

**Determination of Fair Value**

The Company defines fair value as the price that would be received to sell an asset or paid to transfer a liability (an exit price) in the principal or most advantageous market for the asset or liability in an orderly transaction between market participants on the measurement date. In most cases, the exit price and the transaction (or entry) price will be the same at initial recognition.

In general, investments classified within Level 3 use many of the same valuation techniques and inputs as described in the Level 2 discussions. However, if key inputs are unobservable, or if the investments are less liquid and there is very limited trading activity, the investments are generally classified as Level 3. The use of independent non-binding broker quotations to value investments generally indicates there is a lack of liquidity or the general lack of transparency in the process to develop the valuation estimates generally causing such investments to be classified in Level 3.

*Bonds:* For bonds classified as Level 2 assets, estimated fair values are determined using an income approach. The estimated fair value is determined using third-party commercial pricing services, with the primary inputs being quoted prices in markets that are not active, benchmark yields, spreads off benchmark yields, new issuances, issuer rating, trades of identical or comparable securities, or duration for Level 2 assets. Privately-placed securities are valued using the additional key inputs: market yield curve, call provisions, observable prices and spreads for similar public or private securities that incorporate the credit quality and industry sector of the issuer, and delta spread adjustments to reflect specific credit-related issues. Loan-backed securities are valued using the additional key inputs: expected prepayment speeds and volumes, current and forecasted loss severity, ratings, geographic region, weighted average coupon and weighted average maturity, average delinquency rates and debt-service coverage ratios. Other issuance-specific information is also used, including, but not limited to; collateral type, structure of the security, vintage of the loans, payment terms of the underlying asset, payment priority within tranche, and deal performance.

For bonds classified as Level 3 assets, estimated fair values are determined using a market approach. The estimated fair value is determined using matrix pricing or consensus pricing, with the primary inputs being quoted and offered prices.

*Separate Account Assets:* For separate account assets classified as Level 2 assets, estimated fair values are determined using either a market or income approach. The estimated fair value is determined using third-party commercial pricing services, with the primary input being quoted securitization market price determined principally by independent pricing services using observable inputs or quoted prices or reported net asset value ("NAV") provided by the fund managers.

**NOTES TO THE FINANCIAL STATEMENTS**

*Derivatives:* For OTC-bilateral derivatives classified as Level 2 assets or liabilities, estimated fair values are determined using the income approach. Valuations of non-option-based derivatives utilize present value techniques.

The significant inputs to the pricing models for most OTC-bilateral are inputs that are observable in the market or can be derived principally from, or corroborated by, observable market data.

Most inputs for OTC-bilateral are mid-market inputs but, in certain cases, liquidity adjustments are made when they are deemed more representative of exit value. Market liquidity, as well as the use of different methodologies, assumptions and inputs, may have a material effect on the estimated fair values of the Company's derivatives and could materially affect the net change in capital and surplus.

The credit risk of both the counterparty and the Company are considered in determining the estimated fair value for all OTC-bilateral, and any potential credit adjustment is based on the net exposure by counterparty after taking into account the effects of netting agreements and collateral arrangements. The Company values its OTC-bilateral derivatives using standard swap curves which may include a spread to the risk-free rate, depending upon specific collateral arrangements. This credit spread is appropriate for those parties that execute trades at pricing levels consistent with similar collateral arrangements. As the Company and its significant derivative counterparties generally execute trades at such pricing levels and hold sufficient collateral, additional credit risk adjustments are not currently required in the valuation process. The Company's ability to consistently execute at such pricing levels is in part due to the netting agreements and collateral arrangements that are in place with all of its significant derivative counterparties. An evaluation of the requirement to make additional credit risk adjustments is performed by the Company each reporting period.

B. The Company provides additional fair value information in Notes 5, 8, 16 and 21.

C. Estimated Fair Value of All Financial Instruments

Information related to the aggregate fair value of financial instruments is shown below at:

March 31, 2021						
	Aggregate Fair Value	Admitted Value	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
<b>Assets</b>						
Bonds	\$ 1,940,400,789	\$ 1,788,849,417	\$ 94,239,336	\$ 1,840,472,911	\$ 5,688,542	\$ —
Mortgage loans	323,721,647	310,468,159	—	—	323,721,647	—
Cash, cash equivalents and short-term investments	306,931,873	306,932,143	303,237,843	3,694,030	—	—
Derivative assets <sup>(1)</sup>	343,282,159	343,379,488	—	343,282,159	—	—
Other invested assets	16,888,802	16,044,177	—	16,888,802	—	—
Investment income due and accrued	17,197,893	17,197,893	—	17,197,893	—	—
Separate Account assets	7,462,421,891	7,424,731,992	162,362,111	6,956,823,952	343,235,828	—
Total assets	<u>\$ 10,410,896,658</u>	<u>\$ 10,207,603,269</u>	<u>\$ 559,839,290</u>	<u>\$ 9,178,359,747</u>	<u>\$ 672,697,621</u>	<u>\$ —</u>
<b>Liabilities</b>						
Investment contracts included in:						
Liability for deposit-type contracts	\$ 16,377,214	\$ 16,377,214	\$ —	\$ —	\$ 16,377,214	\$ —
Derivative liabilities <sup>(1)</sup>	125,337,407	126,204,075	—	125,337,407	—	—
Payable for collateral received	164,755,000	164,755,000	—	164,755,000	—	—
Separate Account liabilities	1,869,721	1,869,721	—	1,869,721	—	—
Total liabilities	<u>\$ 308,339,342</u>	<u>\$ 309,206,010</u>	<u>\$ —</u>	<u>\$ 291,962,128</u>	<u>\$ 16,377,214</u>	<u>\$ —</u>
December 31, 2020						
	Aggregate Fair Value	Admitted Value	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
<b>Assets</b>						
Bonds	\$ 1,947,760,173	\$ 1,677,684,602	\$ 103,253,966	\$ 1,835,923,017	\$ 8,583,190	\$ —
Mortgage loans	350,138,212	331,658,419	—	—	350,138,212	—
Cash, cash equivalents and short-term investments	220,169,927	220,170,390	213,238,687	6,931,240	—	—
Contract loans	190,588	190,588	—	—	190,588	—
Derivative assets <sup>(1)</sup>	265,161,975	264,307,435	—	265,161,975	—	—
Other invested assets	13,221,333	11,055,603	—	13,221,333	—	—
Investment income due and accrued	15,464,654	15,464,654	—	15,464,654	—	—
Separate Account assets	7,263,486,905	7,155,003,773	158,273,333	6,768,630,063	336,583,509	—
Total assets	<u>\$ 10,075,593,767</u>	<u>\$ 9,675,535,464</u>	<u>\$ 474,765,986</u>	<u>\$ 8,905,332,282</u>	<u>\$ 695,495,499</u>	<u>\$ —</u>
<b>Liabilities</b>						
Investment contracts included in:						
Liability for deposit-type contracts	\$ 16,788,191	\$ 16,788,191	\$ —	\$ —	\$ 16,788,191	\$ —
Derivative liabilities <sup>(1)</sup>	93,949,822	95,411,532	—	93,949,822	—	—
Payable for collateral received	136,544,000	136,544,000	—	136,544,000	—	—
Separate Account liabilities	2,463,424	2,463,424	—	2,463,424	—	—
Total liabilities	<u>\$ 249,745,437</u>	<u>\$ 251,207,147</u>	<u>\$ —</u>	<u>\$ 232,957,246</u>	<u>\$ 16,788,191</u>	<u>\$ —</u>

<sup>(1)</sup> Classification of derivatives is based on each derivative's positive (asset) or negative (liability) book/adjusted carrying value, which equals the net admitted assets and liabilities.

**Assets and Liabilities**

See "A(4) - Assets and Liabilities Measured and Reported at Estimated Fair Value at Reporting Date" above for a description of the valuation technique(s) and the inputs used in the fair value measurement for Level 2 and Level 3



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**NOTES TO THE FINANCIAL STATEMENTS**

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assets and liabilities measured and reported at fair value. Incrementally, assets and liabilities not carried at estimated fair value at the reporting period are described below.

**Bonds, Cash, Cash Equivalents and Short-term Investments**

When available, the estimated fair value for bonds, cash equivalents and short-term investments are based on quoted prices in active markets that are readily and regularly obtainable. Generally, these investments are classified in Level 1, are the most liquid of the Company's securities holdings and valuation of these securities does not involve management's judgment.

The estimated fair value for cash approximates carrying value and is classified as Level 1 given the nature of cash.

For bonds classified as Level 2 assets, estimated fair values are determined using an income approach. The estimated fair value is determined using third-party commercial pricing services, with the primary inputs being quoted prices in markets that are not active, benchmark yields, spreads off benchmark yields, new issuances, issuer rating, trades of identical or comparable securities, or duration for Level 2 assets. Privately-placed securities are valued using the additional key inputs: market yield curve, call provisions, observable prices and spreads for similar public or private securities that incorporate the credit quality and industry sector of the issuer, and delta spread adjustments to reflect specific credit-related issues. Loan-backed securities are valued using the additional key inputs: expected prepayment speeds and volumes, current and forecasted loss severity, ratings, geographic region, weighted average coupon and weighted average maturity, average delinquency rates and debt-service coverage ratios. Other issuance-specific information is also used, including, but not limited to; collateral type, structure of the security, vintage of the loans, payment terms of the underlying asset, payment priority within tranche, and deal performance.

For bonds classified as Level 3 assets, estimated fair values are determined using a market approach. The estimated fair value is determined using matrix pricing or consensus pricing, with the primary inputs being quoted and offered prices.

**Mortgage Loans**

For mortgage loans, estimated fair value is primarily determined by estimating expected future cash flows and discounting them using current interest rates for similar mortgage loans with similar credit risk, or is determined from pricing for similar mortgage loans. The estimated fair values for impaired mortgage loans are principally obtained by estimating the fair value of the underlying collateral using market standard appraisal and valuation methods. Mortgage loans valued using significant unobservable inputs are classified in Level 3.

**Derivatives**

For Level 2 assets and liabilities not carried at estimated fair value at the reporting period, the estimated fair value is determined using the methodologies described in the above section titled "*Derivatives*."

**Other Invested Assets**

The estimated fair value of other invested assets is determined using the methodologies as described in the above sections titled "Bonds, Cash, Cash Equivalents and Short-term Investments", based on the nature of the investment. Excluded from the disclosure are those other invested assets that are not considered to be financial instruments subject to this disclosure including investments carried on the equity method.

**Investment Income Due and Accrued**

The estimated fair value of investment income due and accrued approximates carrying value due as this financial instrument is short-term nature and the Company believes there is minimal risk of material changes in interest rates or the credit of the issuer. These amounts are generally classified as Level 2.

**Investment Contracts Included in Reserves for Life and Health Insurance and Annuities and Liability for Deposit-Type Contracts**

The fair value of investment contracts included in reserves for life and health insurance and annuities and in the liability for deposit-type contracts is estimated by discounting best estimate future cash flows based on assumptions that market participants would use in pricing such liabilities, with consideration of the Company's non-performance risk (own-credit risk) not reflected in the fair value calculation. The assumptions used in estimating these fair values are based in part on unobservable inputs classified in Level 3.

**Payable for Collateral Received**

The estimated fair value of amounts payable for collateral received approximates carrying value as these obligations are short-term in nature. These amounts are generally classified in Level 2.

## NOTES TO THE FINANCIAL STATEMENTS

### Separate Accounts

Separate Account assets are generally carried at estimated fair value on the Statutory Statements of Assets, Liabilities, Surplus and Other Funds. Level 1 assets are comprised of common stock, derivative assets, U.S. Treasury and agency securities, cash and cash equivalents and short-term investments. Common stock securities are valued based upon unadjusted quoted prices in active markets that are readily and regularly available. Derivative assets are comprised of exchange-traded interest rate derivatives (options-based). U.S. Treasury and agency securities are valued based upon unadjusted quoted prices in active markets that are readily and regularly available. The estimated fair value of cash equivalents and short-term investments approximates carrying value due to the short-term maturities of these instruments.

Level 2 assets not carried at estimated fair value at the reporting period consist of bonds. The estimated fair value of is determined using the methodologies described in the above section titled “Bonds, Cash, Cash Equivalents and Short-term Investments”.

The difference between the estimated fair value of Separate Account assets in the table above and the total recognized in the Statutory Statements of Assets, Liabilities, Surplus and Other Funds represents amounts that are considered non-financial instruments.

- D. At March 31, 2021, the Company had no investments where it was not practicable to estimate fair value.
- E. At March 31, 2021, the Company had no instruments measured using the NAV practical expedient for valuation purposes.

### 21. Other Items

A-B. No significant change.

C. Other Disclosures

The Company continues to closely monitor developments related to the worldwide pandemic sparked by the novel coronavirus, (“COVID-19 pandemic”), which has negatively impacted the Company in certain respects. At this time, it continues to not be possible to estimate the severity or duration of the pandemic, including the severity, duration and frequency of any additional “waves” of the pandemic or the efficacy of any therapeutic treatments and vaccines for COVID-19, including their efficacy with respect to variants of COVID-19 that have emerged or could emerge in the future. It is likewise not possible to predict or estimate the longer-term effects of the pandemic, or any actions taken to contain or address the pandemic, on the economy at large and on the business, financial condition, results of operations, and prospects, including the impact on the Company’s investment portfolio and its ratings, or the need for the Company in the future to revisit or revise aspects of the Company’s business model or targets previously provided to the markets.

D-E. No significant change.

F. Subprime Mortgage Related Risk Exposure

(1-2) No significant change.

(3) At March 31, 2021, the Company had direct exposure to subprime mortgage risk through other investments as follows:

	Actual Cost	Book/Adjusted Carrying Value (excluding interest)	Fair Value	OTTI Losses Recognized
RMBS	\$ 17,053,275	\$ 17,872,864	\$ 20,636,571	\$ —
CMBS	—	—	—	—
Collateralized debt obligations	—	—	—	—
Structured securities	—	—	—	—
Equity investment in SCA	—	—	—	—
Other assets	—	—	—	—
Total	<u>\$ 17,053,275</u>	<u>\$ 17,872,864</u>	<u>\$ 20,636,571</u>	<u>\$ —</u>

(4) No significant change.

G-I. No significant change.

### 22. Events Subsequent

The Company has evaluated events subsequent to March 31, 2021 through May 11, 2021, which is the date these financial statements were available to be issued, and has determined there are no material subsequent events requiring adjustment to or disclosure in the financial statements.

The Company is not subject to the annual fee imposed under section 9010 of the Affordable Care Act (“ACA”).

**NOTES TO THE FINANCIAL STATEMENTS****23. Reinsurance**

No significant change.

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination**

A-D. No significant change.

E. The Company is not subject to the risk sharing provision of the ACA.

**25. Change in Incurred Losses and Loss Adjustment Expenses**

The Company had no change in incurred losses and no loss adjustment expenses during the three months ended March 31, 2021.

**26. Intercompany Pooling Arrangements**

No significant change.

**27. Structured Settlements**

No significant change.

**28. Health Care Receivables**

No significant change.

**29. Participating Policies**

No significant change.

**30. Premium Deficiency Reserves**

No significant change.

**31. Reserves for Life Contracts and Deposit-Type Contracts**

No significant change.

**32. Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics**

No significant change.

**33. Analysis of Life Actuarial Reserves by Withdrawal Characteristics**

No significant change.

**34. Premiums and Annuity Considerations Deferred and Uncollected**

A. Deferred and uncollected life insurance premiums and annuity considerations as of March 31, 2021 were as follows :

<u>Type</u>	<u>Gross</u>	<u>Net of Loading</u>
Industrial	\$ —	\$ —
Ordinary new business	13,741	1,721
Ordinary renewal	2,190,686	2,019,956
Credit life	—	—
Group life	—	—
Group annuity	—	—
Total	<u>\$ 2,204,427</u>	<u>\$ 2,021,677</u>

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**NOTES TO THE FINANCIAL STATEMENTS**

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**35. Separate Accounts**

A-B. No significant change.

C. Reconciliation of Net Transfers to or (from) Separate Accounts

(1) Transfers as reported in the Summary of Operations of the Separate Accounts Annual Statement:	
a. Transfers to Separate Accounts (Page 4, Line 1.4)	\$ 266,102,870
b. Transfers from Separate Accounts (Page 4, Line 10)	123,640,099
c. Net transfers to or (from) Separate Accounts (a) - (b)	<u>142,462,771</u>
(2) Reconciling Adjustments	<u>—</u>
(3) Transfers as reported in the Summary of Operations of the Life, Accident & Health Annual Statement (1c) + (2) = (Page 4, Line 26)	<u>\$ 142,462,771</u>

**36. Loss/Claim Adjustment Expenses**

No significant change.

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0001685040

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]  
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 02/03/2017

6.4 By what department or departments?  
New York State Department of Financial Services

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No [ ]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Brighthouse Investment Advisers, LLC	Boston, MA				YES
Brighthouse Securities, LLC	Charlotte, NC				YES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes  No   
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 1,356,852

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes  No   
 11.2 If yes, give full and complete information relating thereto:  
See Note 5L  
 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0  
 13. Amount of real estate and mortgages held in short-term investments: \$ 0  
 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes  No

14.2 If yes, please complete the following:

- 14.21 Bonds
- 14.22 Preferred Stock
- 14.23 Common Stock
- 14.24 Short-Term Investments
- 14.25 Mortgage Loans on Real Estate
- 14.26 All Other
- 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)
- 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
\$	0	\$ 0
	0	0
	0	0
	0	0
	0	0
	0	0
\$	0	\$ 0
\$	0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes  No   
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes  No  N/A   
 If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0  
 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0  
 16.3 Total payable for securities lending reported on the liability page: \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes  No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JPMorgan Chase & Co	4 New York Plaza - 12th Floor, New York, NY, 10004

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes  No

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
Barings, LLC	U
BlackRock Financial Management, Inc.	U
Brighthouse Services, LLC	A
Goldman Sachs Asset Management, L.P.	U
MetLife Investment Management, LLC	U
Pacific Investment Management Company LLC	U
Voya Investment Management Co. LLC	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes  No

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes  No

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment

**GENERAL INTERROGATORIES****PART 1 - COMMON INTERROGATORIES**

Number				Management Agreement (IMA) Filed
106006	Barings, LLC	ANDKRHQKPRRG4Q2KLR05	SEC	NO
107105	BlackRock Financial Management, Inc.	549300LVXYIVJKE13M84	SEC	NO
	Brighthouse Services, LLC	254900GBF9DJWMLK4I41	Not a Registered Investment Advisor	DS
107738	Goldman Sachs Asset Management, L.P.	CF5M58QA35CFPUX70H17	SEC	NO
142463	MetLife Investment Management, LLC	EAUO72Q8FCR1S0XGYJ21	SEC	NO
104559	Pacific Investment Management Company LLC	549300KGPYQZXGMYYN38	SEC	NO
106494	Voya Investment Management Co. LLC	L1XJE5NM4QE6WXSJ2J24	SEC	NO

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?

Yes [ X ] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?

Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- The security was purchased prior to January 1, 2019.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- The fund only or predominantly holds bonds in its portfolio.
- The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes [ ] No [ X ]

# Brighthouse Life Insurance Company of NY GENERAL INTERROGATORIES (continued)

## PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

### Life and Accident and Health Companies/Fraternal Benefit Societies

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:		Amount
1.1 Long-term mortgages in good standing		Amount
1.11 Farm mortgages.....	\$	58,850,268
1.12 Residential mortgages.....	\$	
1.13 Commercial mortgages.....	\$	249,488,804
1.14 Total mortgages in good standing.....	\$	308,339,072
1.2 Long-term mortgages in good standing with restructured terms		
1.21 Total mortgages in good standing with restructured terms.....	\$	
1.3 Long-term mortgage loans upon which interest is overdue more than three months		
1.31 Farm mortgages.....	\$	2,129,087
1.32 Residential mortgages.....	\$	
1.33 Commercial mortgages.....	\$	
1.34 Total mortgages with interest overdue more than three months.....	\$	2,129,087
1.4 Long-term mortgage loans in process of foreclosure		
1.41 Farm mortgages.....	\$	
1.42 Residential mortgages.....	\$	
1.43 Commercial mortgages.....	\$	
1.44 Total mortgages in process of foreclosure.....	\$	0
1.5 Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	\$	310,468,159
1.6 Long-term mortgages foreclosed, properties transferred to real estate in current quarter		
1.61 Farm mortgages.....	\$	
1.62 Residential mortgages.....	\$	
1.63 Commercial mortgages.....	\$	
1.64 Total mortgages foreclosed and transferred to real estate.....	\$	0
2. Operating Percentages:		
2.1 A&H loss percent.....		
2.2 A&H cost containment percent.....		
2.3 A&H expense percent excluding cost containment expenses.....		
3.1 Do you act as a custodian for health savings accounts?.....	Yes [ ]	No [ X ]
3.2 If yes, please provide the amount of custodial funds held as of the reporting date.....	\$	
3.3 Do you act as an administrator for health savings accounts?.....	Yes [ ]	No [ X ]
3.4 If yes, please provide the balance of the funds administered as of the reporting date.....	\$	
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....	Yes [ ]	No [ X ]
4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....	Yes [ ]	No [ X ]

### Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [ ] No [ ] N/A [ ]
- 5.2 If no, explain:
- 

- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [ ] No [ ]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount



**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
------------------------------	----------------	---------------------	------------------------	----------------------------------	-----------------------------------	-----------------------------	------------------------	--	--

**NONE**

# Brighthouse Life Insurance Company of NY SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

1	Active Status (a)	Direct Business Only					
		Life Contracts		4	5	6	7
		2	3				
States, Etc.		Life Insurance Premiums	Annuity Considerations	A&H Insurance Premiums, Including Policy Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1. Alabama.....	AL N	2,113				2,113	
2. Alaska.....	AK N					0	
3. Arizona.....	AZ N	22,425	16,064			38,489	
4. Arkansas.....	AR N	2,491				2,491	
5. California.....	CA N	132,154	40,175			172,329	
6. Colorado.....	CO N	14,668	6,840			21,508	
7. Connecticut.....	CT N	147,868	288,693			436,561	
8. Delaware.....	DE N	10,708	50,000			60,708	
9. District of Columbia.....	DC N	4,795				4,795	
10. Florida.....	FL N	308,663	356,577			665,240	
11. Georgia.....	GA N	32,318	50,000			82,318	
12. Hawaii.....	HI N	3,646				3,646	
13. Idaho.....	ID N	256				256	
14. Illinois.....	IL N	26,280				26,280	
15. Indiana.....	IN N	8,977				8,977	
16. Iowa.....	IA N	2,850				2,850	
17. Kansas.....	KS N	1,205				1,205	
18. Kentucky.....	KY N	3,811				3,811	
19. Louisiana.....	LA N	885				885	
20. Maine.....	ME N	6,385				6,385	
21. Maryland.....	MD N	30,714				30,714	
22. Massachusetts.....	MA N	50,916	380,993			431,909	
23. Michigan.....	MI N	74,102				74,102	
24. Minnesota.....	MN N	3,844	750			4,594	
25. Mississippi.....	MS N	1,320				1,320	
26. Missouri.....	MO N	3,549				3,549	
27. Montana.....	MT N	549				549	
28. Nebraska.....	NE N					0	
29. Nevada.....	NV N	10,383	387,388			397,771	
30. New Hampshire.....	NH N	5,249				5,249	
31. New Jersey.....	NJ N	371,492	1,353,848			1,725,340	
32. New Mexico.....	NM N	641	29,728			30,369	
33. New York.....	NY L	15,987,247	271,749,068			287,736,315	
34. North Carolina.....	NC N	88,296	450			88,746	
35. North Dakota.....	ND N	93				93	
36. Ohio.....	OH N	13,898				13,898	
37. Oklahoma.....	OK N	2,393				2,393	
38. Oregon.....	OR N	17,242				17,242	
39. Pennsylvania.....	PA N	69,983	307,600			377,583	
40. Rhode Island.....	RI N	8,160				8,160	
41. South Carolina.....	SC N	36,401	478,804			515,205	
42. South Dakota.....	SD N	1,216				1,216	
43. Tennessee.....	TN N	10,686				10,686	
44. Texas.....	TX N	53,330				53,330	
45. Utah.....	UT N	6,167				6,167	
46. Vermont.....	VT N	7,559				7,559	
47. Virginia.....	VA N	48,825				48,825	
48. Washington.....	WA N	20,105	150,000			170,105	
49. West Virginia.....	WV N	760				760	
50. Wisconsin.....	WI N	4,111				4,111	
51. Wyoming.....	WY N	10,928				10,928	
52. American Samoa.....	AS N					0	
53. Guam.....	GU N					0	
54. Puerto Rico.....	PR N	3,434				3,434	
55. US Virgin Islands.....	VI N	141				141	
56. Northern Mariana Islands.....	MP N					0	
57. Canada.....	CAN N	4,263				4,263	
58. Aggregate Other Alien.....	OT .XXX	48,899	0	0	0	48,899	0
59. Subtotal.....	.XXX	17,729,394	275,646,978	0	0	293,376,372	0
90. Reporting entity contributions for employee benefit plans.....	.XXX					0	
91. Dividends or refunds applied to purchase paid-up additions and annuities.....	.XXX					0	
92. Dividends or refunds applied to shorten endowment or premium paying period.....	.XXX					0	
93. Premium or annuity considerations waived under disability or other contract provisions.....	.XXX	35,634				35,634	
94. Aggregate other amounts not allocable by State.....	.XXX	0	2,189,049	0	0	2,189,049	0
95. Totals (Direct Business).....	.XXX	17,765,028	277,836,027	0	0	295,601,055	0
96. Plus Reinsurance Assumed.....	.XXX					0	
97. Totals (All Business).....	.XXX	17,765,028	277,836,027	0	0	295,601,055	0
98. Less Reinsurance Ceded.....	.XXX	12,744,543	44,366,712			57,111,255	
99. Totals (All Business) less Reinsurance Ceded.....	.XXX	5,020,485	233,469,315	0	0	238,489,800	0

**DETAILS OF WRITE-INS**

58001. Other alien.....	.XXX	48,899				48,899	
58002. ....	.XXX					0	
58003. ....	.XXX					0	
58998. Summary of remaining write-ins for line 58 from overflow page.....	.XXX	0	0	0	0	0	0
58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above).....	.XXX	48,899	0	0	0	48,899	0
9401. Internal policy exchanges.....	.XXX		2,189,049			2,189,049	
9402. ....	.XXX					0	
9403. ....	.XXX					0	
9498. Summary of remaining write-ins for line 94 from overflow page.....	.XXX	0	0	0	0	0	0
9499. Total (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	.XXX		2,189,049			2,189,049	

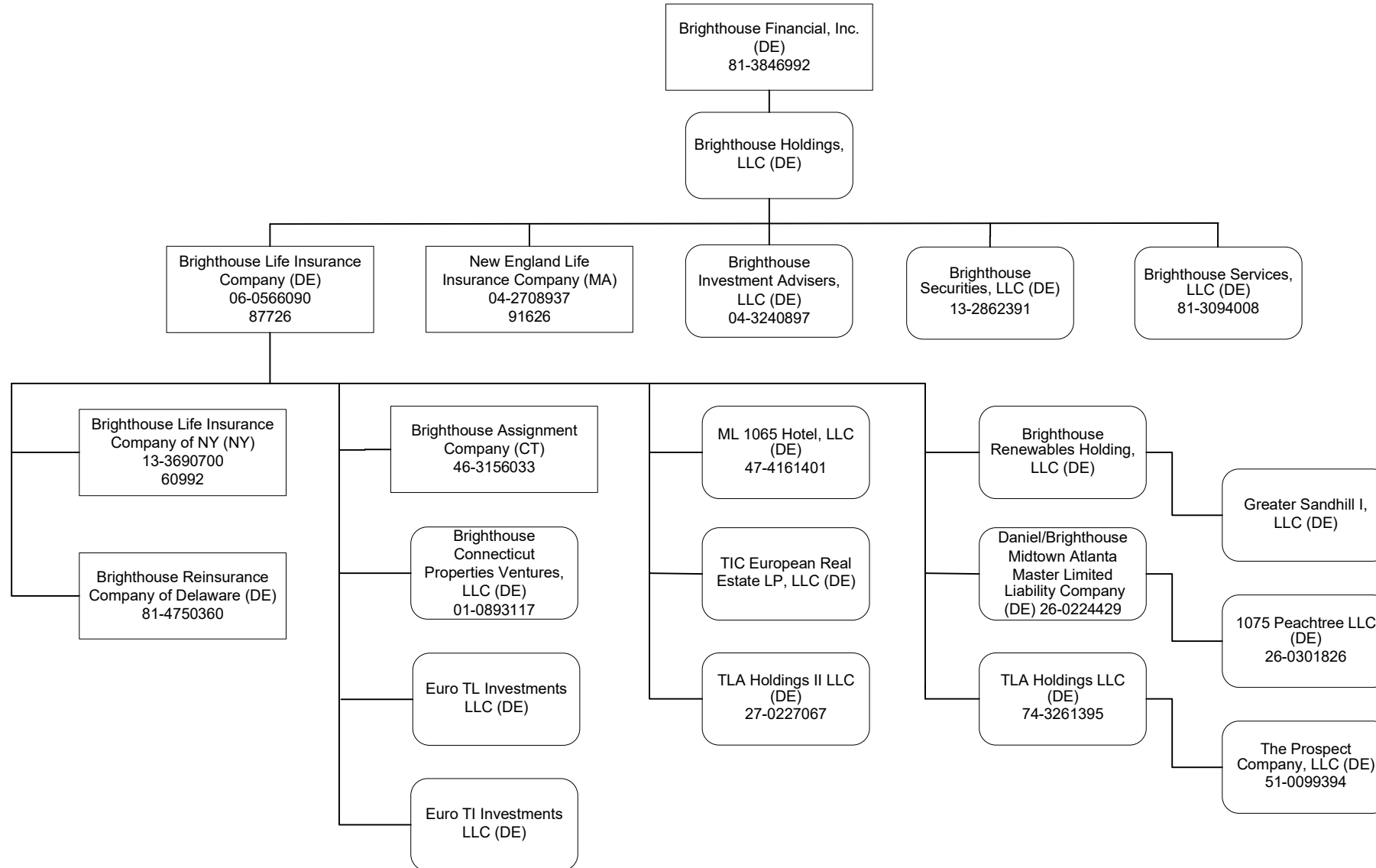
(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	1
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....	0

R - Registered - Non-domiciled RRGs.....	0
Q - Qualified - Qualified or accredited reinsurer.....	0
N - None of the above - Not allowed to write business in the state.....	56

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



Q12

LEGEND:  
 Square edges: Corporation  
 Round edges: Limited Liability Company

# Brighthouse Life Insurance Company of NY

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
4932	Brighthouse Holding Group...	87726...	06-0566090..	1546103			Brighthouse Life Insurance Company .....	DE.....	UDP.....	Brighthouse Holdings, LLC.....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...	13-2862391..				Brighthouse Securities, LLC .....	DE.....	NIA.....	Brighthouse Holdings, LLC.....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	91626...	04-2708937..				New England Life Insurance Company.....	MA.....	IA.....	Brighthouse Holdings, LLC.....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...	04-3240897..	4288440			Brighthouse Investment Advisers, LLC.....	DE.....	NIA.....	Brighthouse Holdings, LLC.....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...	81-3094008..				Brighthouse Services, LLC .....	DE.....	NIA.....	Brighthouse Holdings, LLC.....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...	47-4161401..				ML 1065 Hotel, LLC.....	DE.....	NIA.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...					Brighthouse Renewables Holding, LLC.....	DE.....	NIA.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...					Greater Sandhill I, LLC.....	DE.....	NIA.....	Brighthouse Renewables Holding, LLC.....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...	01-0893117..				Brighthouse Connecticut Properties Ventures, LLC	DE.....	NIA.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...					Euro TI Investments LLC.....	DE.....	NIA.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...	46-3156033..				Brighthouse Assignment Company.....	CT.....	NIA.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..Y.....	
4932	Brighthouse Holding Group...	00000...	26-0224429..				Daniel/Brighthouse Midtown Atlanta Master Limited Liability Company	DE.....	NIA.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...	26-0301826..				1075 Peachtree LLC.....	DE.....	NIA.....	Daniel/Brighthouse Midtown Limited Liability Company	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...	27-0227067..				TLA Holdings II LLC.....	DE.....	NIA.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...					TIC European Real Estate LP, LLC.....	DE.....	NIA.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...	74-3261395..				TLA Holdings LLC.....	DE.....	NIA.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...	51-0099394..				The Prospect Company, LLC.....	DE.....	NIA.....	TLA Holdings LLC.....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	16073...	81-4750360..				Brighthouse Reinsurance Company of Delaware (DE)	DE.....	IA.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...					Euro TL Investments LLC.....	DE.....	NIA.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	60992...	13-3690700..	3302479			Brighthouse Life Insurance Company of NY.....	NY.....	RE.....	Brighthouse Life Insurance Company .....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	
4932	Brighthouse Holding Group...	00000...	81-3846992..		1685040	NASDAQ.....	Brighthouse Financial, Inc.....	DE.....	NIA.....	Board of Directors.....	Board of Directors		Board of Directors.....	..Y.....	
4932	Brighthouse Holding Group...	00000...					Brighthouse Holdings, LLC.....	DE.....	UIP.....	Brighthouse Financial, Inc.....	Ownership.....	100.000	Brighthouse Financial, Inc.....	..N.....	

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# Brighthouse Life Insurance Company of NY SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarterly Only). The response for 1st and 3rd quarters should be N/A. A NO response resulting with a barcode is only appropriate in the 2nd quarter.	N/A

**Explanations:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.
5. The data for this supplement is not required to be filed.
6. The data for this supplement is not required to be filed.
7. The data for this supplement is not required to be filed.
8. Not Applicable for 1st and 3rd Quarters

**Bar Code:**



**NONE**

# Brighthouse Life Insurance Company of NY SCHEDULE A - VERIFICATION

## Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

NONE

## SCHEDULE B - VERIFICATION

### Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	331,658,419	375,916,746
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		138,290
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....	1,887	9,960
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....	20,600,529	42,554,549
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	632,418	1,987,068
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	40,800	135,040
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8-9-10).....	310,468,159	331,658,419
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	310,468,159	331,658,419
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	310,468,159	331,658,419

## SCHEDULE BA - VERIFICATION

### Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	11,055,603	7,591,307
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	4,992,800	3,473,640
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....	4,226	9,344
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	16,044,177	11,055,603
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	16,044,177	11,055,603

## SCHEDULE D - VERIFICATION

### Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	1,677,684,602	1,883,041,998
2. Cost of bonds and stocks acquired.....	144,755,893	179,020,037
3. Accrual of discount.....	1,100,053	5,091,641
4. Unrealized valuation increase (decrease).....	(253,297)	(74,539)
5. Total gain (loss) on disposals.....	1,108,930	12,000,430
6. Deduct consideration for bonds and stocks disposed of.....	33,979,013	403,183,157
7. Deduct amortization of premium.....	1,002,765	4,252,474
8. Total foreign exchange change in book/adjusted carrying value.....	(836,647)	5,219,786
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	271,661	820,880
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	1,788,849,417	1,677,684,602
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	1,788,849,417	1,677,684,602

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	991,262,941	54,958,947	44,228,249	(1,555,676)	1,000,437,963			991,262,941
2. NAIC 2 (a).....	665,613,792	82,147,381	4,410,514	(1,416,881)	741,933,778			665,613,792
3. NAIC 3 (a).....	55,085,531	5,734,000	5,148,509	(2,863,088)	52,807,934			55,085,531
4. NAIC 4 (a).....	13,015,844	1,915,563	2,003,000	4,883,934	17,812,341			13,015,844
5. NAIC 5 (a).....	5,786,245		55,508	1,675,585	7,406,322			5,786,245
6. NAIC 6 (a).....	1,719,574			(1,719,574)	0			1,719,574
7. Total Bonds.....	1,732,483,927	144,755,891	55,845,780	(995,700)	1,820,398,338	0	0	1,732,483,927
<b>PREFERRED STOCK</b>								
8. NAIC 1.....					0			
9. NAIC 2.....					0			
10. NAIC 3.....					0			
11. NAIC 4.....					0			
12. NAIC 5.....					0			
13. NAIC 6.....					0			
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	1,732,483,927	144,755,891	55,845,780	(995,700)	1,820,398,338	0	0	1,732,483,927

QS102

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....31,441,175; NAIC 2 \$.....107,744; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.



**Brighthouse Life Insurance Company of NY**

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	31,548,919	XXX	31,555,012	1,920	

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	34,186,894	49,308,940
2. Cost of short-term investments acquired.....		157,041,585
3. Accrual of discount.....	7,987	89,738
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....	6	64,414
6. Deduct consideration received on disposals.....	2,633,179	172,229,353
7. Deduct amortization of premium.....	12,789	88,430
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	31,548,919	34,186,894
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	31,548,919	34,186,894

# Brighthouse Life Insurance Company of NY

## SCHEDULE DB - PART A - VERIFICATION

### Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/adjusted carrying value, December 31, prior year (Line 10, prior year).....	168,895,902
2. Cost paid/(consideration received) on additions.....	67,095,391
3. Unrealized valuation increase/(decrease).....	(30,288,765)
4. SSAP No. 108 adjustments.....	
5. Total gain (loss) on termination recognized.....	129,660,882
6. Considerations received/(paid) on terminations.....	119,873,703
7. Amortization.....	826,525
8. Adjustment to the book/adjusted carrying value of hedge item.....	
9. Total foreign exchange change in book/adjusted carrying value.....	859,181
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 + 7 + 8 + 9).....	217,175,413
11. Deduct nonadmitted assets.....	
12. Statement value at end of current period (Line 10 minus Line 11).....	217,175,413

## SCHEDULE DB - PART B - VERIFICATION

### Futures Contracts

1. Book/adjusted carrying value, December 31, prior year (Line 6, prior year).....	
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column).....	
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges:	
3.11 Section 1, Column 15, current year to date minus.....	
3.12 Section 1, Column 15, prior year.....	0
Change in variation margin on open contracts - All Other:	
3.13 Section 1, Column 18, current year to date minus.....	
3.14 Section 1, Column 18, prior year.....	0
3.2 Add:	
Change in adjustment to basis of hedged item:	
3.21 Section 1, Column 17, current year to date minus.....	
3.22 Section 1, Column 17, prior year.....	0
Change in amount recognized:	
3.23 Section 1, Column 19, current year to date minus.....	<b>NONE</b>
3.24 Section 1, Column 19, prior year plus.....	
3.25 SSAP No. 108 adjustments.....	0
3.3 Subtotal (Line 3.1 minus Line 3.2).....	0
4.1 Cumulative variation margin on terminated contracts during the year.....	
4.2 Less:	
4.21 Amount used to adjust basis of hedged item.....	
4.22 Amount recognized.....	
4.23 SSAP No. 108 adjustments.....	0
4.3 Subtotal (Line 4.1 minus Line 4.2).....	0
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year.....	
5.2 Total gain (loss) adjusted into the hedged item(s) for the terminations in prior year.....	
6. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3.3 - 4.3 - 5.1 - 5.2).....	0
7. Deduct nonadmitted assets.....	
8. Statement value at end of current period (Line 6 minus Line 7).....	0

**SCHEDULE DB - PART C - SECTION 1**

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replication (Synthetic) Asset Transactions								Components of the Replication (Synthetic Asset) Transactions							
1	2	3	4	5	6	7	8	Derivative Instrument(s) Open			Cash Instrument(s) Held				
		NAIC Designation or Other Description	Notional Amount	Book/Adjusted Carrying Value	Fair Value	Effective Date	Maturity Date	9	10	11	12	13	14	15	16
Number	Description	Description	Amount	Value	Value	Date	Date	Description	Book/Adjusted Carrying Value	Fair Value	CUSIP	Description	NAIC Designation or Other Description	Book/Adjusted Carrying Value	Fair Value

**NONE**

**SCHEDULE DB - PART C - SECTION 2**

Reconciliation (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year-To-Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory.....			.....0	.....0	.....0	.....0	.....0	.....0	.....0	.....0
2. Add: Opened or acquired transactions.....									.....0	.....0
3. Add: Increases in replication (synthetic asset) transactions statement value.....	XXX		XXX		XXX		XXX		XXX	.....0
4. Less: Closed or disposed of transactions.....									.....0	.....0
5. Less: Positions disposed of for failing effectiveness criteria.....									.....0	.....0
6. Less: Decreases in replication (synthetic asset) transactions statement value.....	XXX		XXX		XXX		XXX		XXX	.....0
7. Ending Inventory.....	.....0	.....0	.....0	.....0	.....0	.....0	.....0	.....0	.....0	.....0

**NONE**

# Brighthouse Life Insurance Company of NY

## SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	217,175,413
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	
3.	Total (Line 1 plus Line 2).....	217,175,413
4.	Part D, Section 1, Column 6.....	343,379,488
5.	Part D, Section 1, Column 7.....	(126,204,075)
6.	Total (Line 3 minus Line 4 minus Line 5).....	0
		Fair Value Check
7.	Part A, Section 1, Column 16.....	217,944,752
8.	Part B, Section 1, Column 13.....	
9.	Total (Line 7 plus Line 8).....	217,944,752
10.	Part D, Section 1, Column 9.....	343,654,203
11.	Part D, Section 1, Column 10.....	(125,709,451)
12.	Total (Line 9 minus Line 10 minus Line 11).....	0
		Potential Exposure Check
13.	Part A, Section 1, Column 21.....	12,327,740
14.	Part B, Section 1, Column 20.....	
15.	Part D, Section 1, Column 12.....	12,327,740
16.	Total (Line 13 plus Line 14 minus Line 15).....	0

**Brighthouse Life Insurance Company of NY**  
**SCHEDULE E - PART 2 - VERIFICATION**

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	20,612,431	8,589,179
2. Cost of cash equivalents acquired.....		386,191,455
3. Accrual of discount.....	576	23,578
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....	56	1,285
6. Deduct consideration received on disposals.....	20,612,923	374,192,055
7. Deduct amortization of premium.....	140	1,011
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	0	20,612,431
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	0	20,612,431

**SCHEDULE A - PART 2**

Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						

**NONE**

QE01

**SCHEDULE A - PART 3**

Showing all Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract "

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs, and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B./A.C.V. (11 - 9 - 10)	13 Total Foreign Exchange Change in B./A.C.V.							

**NONE**

# Brighthouse Life Insurance Company of NY

## SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	2 City	3 State						

# NONE

## SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment						14 Book Value / Recorded Investment Excluding Accrued Interest on Disposal	15 Consideration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) / Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8 + 9 - 10 + 11)	13 Total Foreign Exchange Change in Book Value					
<b>Mortgages Closed by Repayment</b>																	
193414.....	CARROLL.....	IL.....		08/07/2009....	01/31/2021....	47,915		(1,188)				(1,188)		46,727	46,727		0
194475.....	KERN.....	CA.....		12/21/2010....	03/31/2021....	13,200,554		(238,416)				(238,416)		12,962,138	12,962,138		0
196114.....	MONTGOMERY.....	IL.....		12/27/2012....	02/28/2021....	473,674		(3,850)				(3,850)		469,823	469,823		0
196591.....	KEARNEY.....	NE.....		08/22/2013....	01/31/2021....	692,220		(18,107)				(18,107)		674,113	674,113		0
197362.....	ANTELOPE.....	NE.....		12/30/2014....	01/31/2021....	847,289		(43,686)				(43,686)		803,603	803,603		0
702218.....	NEW YORK (MANHATTAN).....	NY.....		03/01/2010....	03/10/2021....	959,523						0		959,523	959,523		0
702315.....	SAN DIEGO.....	CA.....		12/15/2010....	01/31/2021....	1,732,908						0		1,732,908	1,732,908		0
0199999. Total - Mortgages Closed by Repayment.....						17,954,083	0	(305,247)	0	0		(305,247)	0	17,648,835	17,648,835	0	0
<b>Mortgages With Partial Repayments</b>																	
Farm Mortgages.....	Various.....	VAR.....										0		2,253,015	2,253,015		0
Commercial Mort.....	Various.....	VAR.....										0		698,679	698,679		0
0299999. Total - Mortgages With Partial Repayments.....						0	0	0	0	0		0		2,951,694	2,951,694	0	0
0599999. Total Mortgages.....						17,954,083	0	(305,247)	0	0		(305,247)	0	20,600,529	20,600,529	0	0

QE02



**Brighthouse Life Insurance Company of NY**

**SCHEDULE BA - PART 2**

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation, NAIC Designation and SVO Administrative Symbol/Market Indicator	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made after Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
<b>Surplus Debentures - Unaffiliated</b>												
668138 AE 0	NORTHWESTERN MUTUAL LIFE INSUR.....	Milwaukee.....	WI....	JEFFRIES & CO. INC.....	1.C FE....	03/26/2021....		4,992,800				
2799999. Total - Surplus Debentures - Unaffiliated.....								4,992,800	0	0	0	XXX.....
4899999. Subtotal - Unaffiliated.....								4,992,800	0	0	0	XXX.....
5099999. Totals.....								4,992,800	0	0	0	XXX.....

QE03

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Changes in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization) / Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						
<b>NONE</b>																			

# Brighthouse Life Insurance Company of NY

## SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2		3	4	5	6	7	8	9	10
CUSIP Identification	Description		Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
<b>Bonds - All Other Government</b>										
59284M	AD	6	MEXICO CITY AIRPORT TRUST SECURED CORP B	D	02/05/2021	J.P. MORGAN SECURITIES INC	476,188	475,000	653	2.C FE
1099999	Total - Bonds - All Other Government						476,188	475,000	653	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>										
3136AP	VL	3	FNMA FNMA_15-59B 3.000% 08/25/45		03/01/2021	Interest Capitalization	53,644	53,644		1.A
57421C	AW	4	MARYLAND ST HEALTH & HIGHER ED MUNITAX		03/02/2021	J.P. MORGAN SECURITIES INC	206,920	205,000	1,067	1.F FE
91412H	KE	2	UNIVERSITY OF CALIFORNIA MUNITAX BND		02/24/2021	JEFFRIES & CO. INC	680,000	680,000		1.C FE
956708	AA	9	WESTVAHEALTHSYSOBL SENIOR MUNITAX BND		02/17/2021	JEFFRIES & CO. INC	71,158	55,000	587	1.F FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments						1,011,722	993,644	1,654	XXX
<b>Bonds - Industrial and Miscellaneous</b>										
002824	BG	4	ABBOTT LABORATORIES SENIOR CORP BND 4		03/29/2021	JEFFRIES & CO. INC	3,336,135	2,689,000	42,576	1.G FE
00846U	AN	1	AGILENT TECHNOLOGIES INC SENIOR CORP BND		03/29/2021	MORGAN STANLEY & CO. INC	2,910,832	2,987,000	3,626	2.A FE
015271	AS	8	ALEXANDRIA REAL ESTATE EQUITIE SENIOR CO		03/29/2021	WELLS FARGO SECURITIES	2,119,960	2,000,000	13,333	2.A FE
015271	AV	1	ALEXANDRIA REAL ESTATE EQUITIE SENIOR CO		03/29/2021	WELLS FARGO SECURITIES	2,705,910	3,000,000	9,375	2.A FE
026874	DP	9	AMERICAN INTERNATIONAL GROUP I SENIOR CO		03/29/2021	JEFFRIES & CO. INC	2,812,300	2,500,000	27,344	2.A FE
036752	AK	9	ANTHEM INC SENIOR CORP BND 3.700% 09/1		02/24/2021	JEFFRIES & CO. INC	637,824	600,000	9,928	2.B FE
06541A	BU	3	BNK_21-B31 SUB CMBS B31-B 2.383% 02/15		01/28/2021	WELLS FARGO SECURITIES	411,976	400,000	265	1.D FE
07332V	BD	6	BARCLAYS COMMERCIAL MORTGAGE S LCFSENIOR		03/29/2021	BARCLAYS CAPITAL	5,526,172	5,000,000	15,308	1.D FM
09256B	AM	9	BLACKSTONE HOLDINGS FINANCE CO SENIOR CO		02/19/2021	AMHERST PIERPONT SECUI	432,384	400,000	6,339	1.E FE
12515G	AD	9	CD COMMERCIAL MORTGAGE TRUST C LCFSENIOR		03/29/2021	CITIGROUP GLOBAL MKT INC	5,546,437	5,029,000	15,217	1.D FM
20451R	AB	8	COMPAS GRP DIVER SENIOR CORP BND 144A		03/03/2021	BANC OF AMERICA SECURITIES LLC	720,000	720,000		4.A FE
224044	CL	9	COX COMMUNICATIONS INC SENIOR CORP BND 1		03/29/2021	GOLDMAN SACHS & CO	1,854,420	2,000,000	19,400	2.B FE
23745Q	AA	2	DARTMOUTH-HITCHHEALTH SECURED CORPBND 4		03/30/2021	CITIGROUP GLOBAL MKT INC	1,594,558	1,435,000	9,992	1.F FE
23918K	AS	7	DAVITA HEALTHCARE PARTNERS INC SENIOR CO		02/24/2021	J.P. MORGAN SECURITIES INC	1,195,563	1,175,000	12,831	4.A FE
267475	AD	3	DYCOM INDUSTRIES INC. SENIOR CORP BND 14		03/25/2021	BANC OF AMERICA SECURITIES LLC	1,000,000	1,000,000		3.C FE
26875P	AQ	4	EOG RESOURCES INC SENIOR CORP BND 5.10		03/30/2021	AMHERST PIERPONT SECUI	3,001,846	2,525,000	27,186	1.G FE
29717P	AU	1	ESSEX PORTFOLIO LP SENIOR CORP BND 3.0		03/29/2021	WELLS FARGO SECURITIES	3,587,920	3,500,000	22,167	2.A FE
31620M	BU	9	FIDELITY NATIONAL INFORMATION SENIOR COR		02/23/2021	J.P. MORGAN SECURITIES INC	144,123	145,000		2.B FE
343498	AC	5	FLOWERS FOODS INC SENIOR CORP BND 2.40		03/30/2021	JEFFRIES & CO. INC	4,874,950	5,000,000	7,333	2.B FE
404530	AD	9	HACKENSACK MERIDIAN HEALTH SECURED CORP		03/12/2021	WELLS FARGO SECURITIES	944,140	1,000,000	1,198	1.D FE
444859	BL	5	HUMANA INC SENIOR CORP BND 3.950% 08/1		03/29/2021	US BANCORP	2,674,975	2,500,000	12,618	2.C FE
451102	CA	3	ICAHN ENTERPRISES LP SENIOR CORP BND 144		01/04/2021	JEFFRIES & CO. INC	700,000	700,000		3.C FE
457187	AD	4	INGREDION INC SENIOR CORP BND 3.900% 0		03/29/2021	MORGAN STANLEY & CO. INC	2,124,860	2,000,000	26,000	2.B FE
45866F	AH	7	INTERCONTINENTAL EXCHANGE INC SENIORCORP		03/30/2021	MORGAN STANLEY & CO. INC	1,342,632	1,200,000	1,417	2.A FE
460690	BS	8	INTERPUBLIC GROUP OF COMPANIES SENIOR CO		02/23/2021	MORGAN STANLEY & CO. INC	404,121	405,000		2.B FE
482480	AJ	9	KLA-TENCOR CORP SENIOR CORP BND 3.300%		03/30/2021	CITIGROUP GLOBAL MKT INC	390,508	400,000	1,100	2.A FE
49327V	2B	9	KEYBANK NATIONAL ASSOCIATION SUB CORP BN		03/29/2021	BARCLAYS CAPITAL	3,283,230	3,000,000	54,600	2.A FE
49456B	AT	8	KINDER MORGAN INC SENIOR CORP BND 3.60		02/08/2021	TD SECURITIES (USA)	369,053	370,000		2.B FE
501044	DG	3	KROGER CO SENIOR CORP BND 4.450% 02/01		03/29/2021	MORGAN STANLEY & CO. INC	1,321,137	1,167,000	8,655	2.A FE
501044	DK	4	KROGER CO SENIOR CORP BND 4.650% 01/15/		03/29/2021	BARCLAYS CAPITAL	4,628,240	4,000,000	39,267	2.A FE
50249A	AA	1	LYB INTERNATIONAL FINANCE III SENIOR COR		03/30/2021	MORGAN STANLEY & CO. INC	853,352	800,000	15,493	2.C FE
521865	AZ	8	LEAR CORPORATION SENIOR CORP BND 5.250		03/29/2021	J.P. MORGAN SECURITIES INC	2,338,560	2,000,000	39,667	2.B FE
524901	AR	6	LEGG MASON INC SENIOR CORP BND 5.625%		02/19/2021	AMHERST PIERPONT SECUI	829,974	600,000	3,563	1.G FE
53948Q	AA	4	LOANPALSOLARLOANLTDLPSLT_2 ABS 1-2GS-A 1		03/30/2021	GOLDMAN SACHS & CO	1,996,875	2,000,000	123	1.F FE
571748	AK	8	MARSH & MCLENNAN COMPANIES INC SENIOR CO		03/29/2021	WELLS FARGO SECURITIES	3,932,490	3,000,000	29,375	2.A FE

QE04

# Brighthouse Life Insurance Company of NY

## SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
571748 BD 3	MARSH & MCLENNAN COMPANIES INC SENIOR CO.....		02/10/2021.....	JEFFRIES & CO. INC.....		250,660	200,000	3,757	2.A FE.....
574599 BR 6	MASCO CORPORATION SENIOR CORP BND 3.12.....		02/18/2021.....	RBC DOMINION SECURITIES INC.....		329,941	330,000		2.B FE.....
579780 AS 6	MCCORMICK & COMPANY INCORPORAT SENIOR CO.....		03/29/2021.....	AMHERST PIERPONT SECUI.....		4,759,165	5,044,000	12,960	2.B FE.....
615369 AQ 8	MOODYS CORPORATION SENIOR CORP BND 4.8.....		02/23/2021.....	JEFFRIES & CO. INC.....		766,962	600,000	5,525	2.A FE.....
615369 AU 9	MOODYS CORPORATION SENIOR CORP BND 2.5.....		03/22/2021.....	JEFFRIES & CO. INC.....		161,008	200,000	510	2.A FE.....
61691J AV 6	MSC_17-H1 CMBS_17-H1-A5 3.530% 06/15/5.....		03/29/2021.....	BARCLAYS CAPITAL.....		5,476,953	5,000,000	14,708	1.D FM.....
61946F AA 3	MOSAICSOLARLOANLLCMSAIC_1 ABS 18-1A-A 1.....		03/29/2021.....	GOLDMAN SACHS & CO.....		929,900	864,269	1,059	1.F FE.....
620076 BT 5	MOTOROLA SOLUTIONS INC SENIOR CORP BND.....		03/25/2021.....	JEFFRIES & CO. INC.....		779,205	819,000	7,012	2.C FE.....
626738 AF 5	MURPHY OIL USA INC SENIOR CORP BND 144A.....		01/21/2021.....	RBC DOMINION SECURITIES INC.....		125,000	125,000		3.B FE.....
63111X AB 7	NASDAQ INC SENIOR CORP BND 3.250% 04/2.....		03/26/2021.....	MERRILL LYNCH PIERCE FNNR & SM.....		4,689,500	5,000,000	68,611	2.B FE.....
63111X AD 3	NASDAQ INC SENIOR CORP BND 1.650% 01/1.....		03/30/2021.....	JEFFRIES & CO. INC.....		1,138,363	1,250,000	5,729	2.B FE.....
644393 AB 6	NEW FORTRESS ENERGY LLC SECURED CORP BND.....		03/26/2021.....	MORGAN STANLEY & CO. INC.....		1,000,000	1,000,000		3.C FE.....
694476 AE 2	PACIFIC LIFECORP SENIOR CORP BND 144A.....		03/16/2021.....	AMHERST PIERPONT SECUI.....		883,494	900,000	251	1.G FE.....
74340X BP 5	PROLOGIS LP SENIOR CORP BND 3.000% 04/.....		03/29/2021.....	AMHERST PIERPONT SECUI.....		4,756,350	5,000,000	69,167	1.G FE.....
744320 AY 8	PRUDENTIAL FINANCIAL INC SENIOR CORP BND.....		03/26/2021.....	JEFFRIES & CO. INC.....		5,409,850	5,000,000	61,287	1.G FE.....
754730 AH 2	RAYMOND JAMES FINANCIAL INC. SENIOR CORP.....		03/18/2021.....	CITIGROUP GLOBAL MKT INC.....		596,358	600,000		2.A FE.....
759509 AG 7	RELIANCE STEEL & ALUMINUM CO. SENIOR COR.....		03/26/2021.....	SEAPORT GROUP.....		6,276,270	6,500,000	17,469	2.B FE.....
78443C BP 8	SLMA_04-B ABS 4-B-A4 0.614% 09/15/33.....		03/31/2021.....	MERRILL LYNCH PIERCE FNNR & SM.....		3,890,000	4,000,000	1,432	1.B FE.....
832696 AM 0	J M SMUCKER CO SENIOR CORP BND 4.250.....		03/29/2021.....	MORGAN STANLEY & CO. INC.....		2,248,680	2,000,000	3,778	2.B FE.....
832696 AS 7	J M SMUCKER CO SENIOR CORP BND 2.375%.....		03/26/2021.....	JEFFRIES & CO. INC.....		2,967,120	3,000,000	2,969	2.B FE.....
842400 GG 2	SOUTHERN CALIFORNIA EDISON CO. SECURED C.....		03/26/2021.....	AMHERST PIERPONT SECUI.....		5,155,950	5,000,000	99,444	2.A FE.....
86944B AE 3	SUTTER HEALTH SENIOR CORP BND 4.091% 0.....		03/30/2021.....	RBC DOMINION SECURITIES INC.....		1,441,781	1,290,000	6,743	1.E FE.....
87901J AJ 4	TEGNA INC SENIOR CORP BND 4.625% 03/15.....		03/15/2021.....	Tax Free Exchange.....		909,000	900,000		3.C FE.....
90138F AC 6	TWILIO INC SENIOR CORP BND 3.625% 03/1.....		03/04/2021.....	J.P. MORGAN SECURITIES INC.....		2,000,000	2,000,000		3.C FE.....
902494 AZ 6	TYSON FOODS INC SENIOR CORP BND 4.875%.....		03/26/2021.....	MUFG SECURITIES AMERICAS INC.....		6,039,450	5,000,000	30,469	2.B FE.....
956708 AB 7	WEST VIRGINIA UNIV HOSP CORP BND 3.12.....		03/30/2021.....	CITIGROUP GLOBAL MKT INC.....		7,538,400	8,000,000	77,182	1.F FE.....
01626P AN 6	ALIMENTATION COUCHE-TARD INC SENIOR CORP.....	A.....	03/29/2021.....	JEFFRIES & CO. INC.....		201,166	200,000	1,393	2.B FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					143,267,983	137,069,269	966,751	XXX
8399997	Total - Bonds - Part 3.....					144,755,893	138,537,913	969,058	XXX
8399999	Total - Bonds.....					144,755,893	138,537,913	969,058	XXX
9999999	Total - Bonds, Preferred and Common Stocks.....					144,755,893	XXX	969,058	XXX

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## SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For rei gn	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
<b>Bonds - U.S. States, Territories and Possessions</b>																					
452151 LF 8	ILLINOIS STATE OF MUNITAX BND GO 5.100		01/21/2021	MERRILL LYNCH PIERCE FNNR & SM		186,892	165,000	151,774	154,897				56		154,953		31,938	31,938	1,262	06/01/2033	2.C FE
1799999	Total - Bonds - U.S. States, Territories & Possessions					186,892	165,000	151,774	154,897	0		0	56	0	154,953	0	31,938	31,938	1,262	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3132AC S7 6	FEDERAL HOME LOAN MORTGAGE COR POOL#ZT05		03/01/2021	Paydown		121,204	121,204	133,722	133,502				(12,298)	(12,298)	121,204			0	794	07/01/2048	1.A
3132DV 7C 3	FEDERAL HOME LOAN MORTGAGE COR POOL#SD80		03/01/2021	Paydown		194,726	194,726	204,705	204,559				(9,833)	(9,833)	194,726			0	935	09/01/2050	1.A
3133A6 TL 5	FEDERAL HOME LOAN MORTGAGE COR POOL#QB05		03/01/2021	Paydown		18,311	18,311	19,928	19,917				(1,605)	(1,605)	18,311			0	90	06/01/2050	1.A
3133A7 PJ 2	FEDERAL HOME LOAN MORTGAGE COR POOL#QB13		03/01/2021	Paydown		19,639	19,639	21,373	21,361				(1,722)	(1,722)	19,639			0	88	07/01/2050	1.A
31359T Z4 3	FNMA FNMA_98-39 7.000% 06/20/28		03/01/2021	Paydown		13,241	13,241	15,028	14,489				(1,247)	(1,247)	13,241			0	155	06/20/2028	1.A
31392W 7B 0	FHLMC CMO AGENCY CMO_T-51 1A 6.500% 09		03/01/2021	Paydown		12,503	12,503	14,406	14,130				(1,627)	(1,627)	12,503			0	152	09/25/2043	1.A
3140J5 4Q 9	FEDERAL NATIONAL MORTGAGE ASSO POOL#BM17		03/01/2021	Paydown		62,482	62,482	67,988	67,961				(5,479)	(5,479)	62,482			0	305	09/01/2047	1.A
3140J9 FU 0	FEDERAL NATIONAL MORTGAGE ASSO POOL#BM46		03/01/2021	Paydown		14,310	14,310	15,481	15,475				(1,165)	(1,165)	14,310			0	92	11/01/2048	1.A
3140X7 RU 5	FEDERAL NATIONAL MORTGAGE ASSO POOL#FM40		03/01/2021	Paydown		178,838	178,838	195,101	194,779				(15,941)	(15,941)	178,838			0	1,141	10/01/2049	1.A
3140X7 YR 4	FEDERAL NATIONAL MORTGAGE ASSO POOL#FM43		03/01/2021	Paydown		71,963	71,963	77,563	77,478				(5,515)	(5,515)	71,963			0	312	09/01/2050	1.A
3140X8 JJ 7	FEDERAL NATIONAL MORTGAGE ASSO POOL#FM47		03/01/2021	Paydown		35,391	35,391	36,912	36,898				(1,507)	(1,507)	35,391			0	123	11/01/2050	1.A
31418D SH 6	FEDERAL NATIONAL MORTGAGE ASSO POOL#MA41		03/01/2021	Paydown		156,592	156,592	161,669	161,616				(5,023)	(5,023)	156,592			0	594	09/01/2050	1.A
31418D SJ 2	FEDERAL NATIONAL MORTGAGE ASSO POOL#MA41		03/01/2021	Paydown		189,571	189,571	198,902	198,773				(9,201)	(9,201)	189,571			0	923	09/01/2050	1.A
658203 V2 7	NORTH CAROLINA MUN PWR AGY NO MUNITAX		01/25/2021	MARKETAXESS CORPORATI		1,596,675	1,500,000	1,500,000	1,500,000				0	0	1,500,000		96,675	96,675	26,111	01/01/2024	1.F FE
658203 V3 5	NORTH CAROLINA MUN PWR AGY NO MUNITAX		01/25/2021	MARKETAXESS CORPORATI		1,633,620	1,500,000	1,500,000	1,500,000				0	0	1,500,000		133,620	133,620	27,656	01/01/2025	1.F FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					4,319,066	4,088,771	4,162,778	4,160,938	0		0	(72,163)	(72,163)	4,088,771	0	230,295	230,295	59,471	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
00115* AA 0	AES ILUMINA LLC CORP BND 6.000% 03/26		03/31/2021	Redemption 100.0000		55,508	55,508	50,436	51,549				3,960	3,960	55,508			0	833	03/26/2032	5.C
00164V AD 5	AMC NETWORKS INC SENIOR CORP BND 5.000		02/26/2021	Call 102.5000		1,234,100	1,204,000	1,216,040	1,207,061				(367)	(367)	1,206,694		(2,694)	(2,694)	54,347	04/01/2024	3.C FE
04544Q AD 9	ABSHE_06-HE7 ABS		03/25/2021	Paydown		3,380	3,380	2,471	2,482				898	898	3,380			0	2	11/25/2036	1.D FM
04544T AA 9	ABSHE_07-HE2 ABS 0.309% 05/25		03/25/2021	Paydown		60,445	60,445	42,296	42,482				17,963	17,963	60,445			0	23	05/25/2037	1.D FM

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**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For re g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
07386R AC 3	BSABS_07-HE4 ABS 0.369% 02/25.....		02/25/2021	Paydown.....		37,171	37,171	31,364	34,297		2,874		2,874		37,171			0	20	02/25/2037	1.A FM.....
07386R AC 3	BSABS_07-HE4 ABS 0.369% 02/25.....		03/25/2021	Paydown.....		20,389	20,389	17,204	18,813		1,577		1,577		20,389			0	19	02/25/2037	1.D FM.....
073871 AC 9	BEAR STEARNS ALT-A TRUST BALTA BSAA_06-4		02/25/2021	Paydown.....		9,944	9,944	8,810	9,155		789		789		9,944			0	5	08/25/2036	1.A FM.....
073871 AC 9	BEAR STEARNS ALT-A TRUST BALTA BSAA_06-4		03/25/2021	Paydown.....		4,985	4,985	4,417	4,590		395		395		4,985			0	6	08/25/2036	1.D FM.....
07401J AA 6	BSMF_06-AR4 BSMF_06-AR4 0.319% 12/25/3		02/25/2021	Paydown.....		23,800	23,800	19,932	20,204		3,596		3,596		23,800			0	12	12/25/2036	1.A FM.....
07401J AA 6	BSMF_06-AR4 BSMF_06-AR4 0.319% 12/25/3		03/25/2021	Paydown.....		13,435	13,435	11,252	11,405		2,030		2,030		13,435			0	11	12/25/2036	1.D FM.....
07401M AG 6	BSMF_07-AR1 RMBS 07 AR1 2A3 0.309% 02/		02/25/2021	Paydown.....		67,392	56,667	46,689	51,269		16,123		16,123		67,392			0	22	02/25/2037	1.A FM.....
07401M AG 6	BSMF_07-AR1 RMBS 07 AR1 2A3 0.309% 02/		03/25/2021	Paydown.....		49,240	45,772	37,712	41,411		7,829		7,829		49,240			0	41	02/25/2037	1.D FM.....
12566U AD 6	CITIMORTGAGE ALTERNATIVE LOAN CMALT_07-A		03/01/2021	Paydown.....		541,614	586,639	525,128	546,855		(5,241)		(5,241)		541,614			0	4,787	02/25/2037	3.B FM.....
126673 J3 7	ENCORE CREDIT RECEIVABLES TRUS ECR_05-2		02/25/2021	Paydown.....		121,115	121,115	106,427	116,756		4,359		4,359		121,115			0	135	09/25/2035	1.A FM.....
126673 J3 7	ENCORE CREDIT RECEIVABLES TRUS ECR_05-2		03/25/2021	Paydown.....		13,688	13,688	12,028	13,195		493		493		13,688			0	29	09/25/2035	1.D FM.....
12667N AA 6	COUNTRYWIDE ASSET-BACKED CERTI CWL_06-BC		02/25/2021	Paydown.....		8,923	8,923	8,164	8,603		319		319		8,923			0	4	11/25/2036	1.A FM.....
12667N AA 6	COUNTRYWIDE ASSET-BACKED CERTI CWL_06-BC		03/25/2021	Paydown.....		7,712	7,712	7,057	7,436		276		276		7,712			0	8	11/25/2036	1.D FM.....
12668A X6 7	CWALT_05-65CB CWALT_05-65CB 5.500% 01/		03/01/2021	Paydown.....		421,368	422,746	380,609	351,233		70,135		70,135		421,368			0	3,868	01/25/2036	1.D FM.....
126694 M6 2	COUNTRYWIDE HOME LOANS CWHL_06 CWHL_06-O		03/25/2021	Paydown.....		2,947	2,947	2,362	2,455		491		491		2,947			0	3	04/25/2046	1.D FM.....
12672# AA 6	CVS CAREMARK CORP CORP BND 4.704% 09/		03/10/2021	Redemption 100.0000.....		19,385	19,385	19,385	19,385				0		19,385			0	152	09/10/2034	2.B .....
12674@ AA 6	CVS CAREMARK CORP CORP BND 4.016% 08/		03/10/2021	Redemption 100.0000.....		4,773	4,773	4,773	4,773				0		4,773			0	32	08/10/2035	2.B .....
13466* AA 8	CAMPUSPARC LP CORP BND 5.138% 12/31/4		03/31/2021	Redemption 100.0000.....		8,333	8,333	8,333	8,333				0		8,333			0	107	12/31/2043	2.B PL.....
14453F AC 3	CARR_06-NC2 SENIOR ABS_06-NC2 A3 0.259		02/25/2021	Paydown.....		7,379	7,379	6,124	6,859		520		520		7,379			0	3	06/25/2036	1.A FM.....
14453F AC 3	CARR_06-NC2 SENIOR ABS_06-NC2 A3 0.259		03/25/2021	Paydown.....		3,122	3,122	2,591	2,902		220		220		3,122			0	2	06/25/2036	1.D FM.....
14987B AE 3	CC HLD V SECURED CORP BND 3.849% 04/15		01/25/2021	BNP PARIBAS.....		1,608,900	1,500,000	1,500,000	1,500,000				0		1,500,000		108,900	108,900	16,358	04/15/2023	2.B FE.....
16165A AD 6	CFLX_07-3 WHOLE CMO 07-3 0.409% 07/25/		02/25/2021	Paydown.....		25,282	25,282	19,824	19,661		5,621		5,621		25,282			0	12	07/25/2037	1.A FM.....

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**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For rei gn	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
16165A AD 6	CFLX_07-3 WHOLE CMO 07-3 0.409% 07/25/		03/25/2021.	Paydown.....		10,061	10,061	7,889	7,824		2,237		2,237		10,061		0	0	11	07/25/2037.	1.D FM.....
17311L AB 7	CMLTI_07-AR5 WHOLE CMO 3.106% 04/25		03/01/2021.	Paydown.....		644	581	497	519		125		125		644		0	0	2	04/25/2037.	1.D FM.....
17313B AA 9	CMLTI_07-AMC4 ABS 0.284% 05/.....		02/25/2021.	Paydown.....		13,522	13,522	11,975	12,288		1,234		1,234		13,522		0	0	5	05/25/2037.	1.A FM.....
17313B AA 9	CMLTI_07-AMC4 ABS 0.284% 05/.....		03/25/2021.	Paydown.....		8,576	8,576	7,595	7,793		782		782		8,576		0	0	6	05/25/2037.	1.D FM.....
189054 AU 3	CLOROX COMPANY SENIOR CORP BND 3.500%		01/25/2021.	CITIGROUP GLOBAL MKT INC.....		6,644,100	6,000,000	5,994,420	5,997,577		41		41		5,997,618		646,482	646,482	24,500	12/15/2024.	1.G FE.....
223611 A# 1	COWBOYS STADIUM LP SENIOR CORP BND 3.9		03/31/2021.	Redemption 100.0000.....		234,990	234,990	234,990	234,990				0		234,990		0	0	4,641	03/31/2034.	2.A PL.....
223611 A@ 3	COWBOYS STADIUM LP SECURED CORP BND 3.		03/31/2021.	Redemption 100.0000.....		113,650	113,650	113,650	113,650				0		113,650		0	0	1,966	03/31/2034.	2.A PL.....
233046 AK 7	DBMASTERFINANCELLCDNKN_19- ABS A-A2II 14		02/20/2021.	Paydown.....		3,750	3,750	3,750	3,750				0		3,750		0	0	38	05/20/2049.	2.B FE.....
233046 AL 5	DBMASTERFINANCELLCDNKN_19- ABS 1A-A23 14		02/20/2021.	Paydown.....		5,000	5,000	5,000	5,000				0		5,000		0	0	54	05/20/2049.	2.B FE.....
23332U DB 7	DSLA_05-AR2 WHOLE CMO 0.350% 03/19/4		03/19/2021.	Paydown.....		28,838	28,913	22,500	22,471		6,368		6,368		28,838		0	0	35	03/19/2045.	1.D FM.....
23332U EL 4	DSLA MTGE LOAN TRUST DSLA_05-A4 0.630%		03/19/2021.	Paydown.....		15,531	15,531	12,045	12,257		3,274		3,274		15,531		0	0	9	08/19/2045.	1.D FM.....
345397 VR 1	FORD MOTOR CREDIT COMPANY LLC CORP BND		02/01/2021.	Maturity.....		400,000	400,000	397,000	399,967		33		33		400,000		0	0	11,500	02/01/2021.	3.B FE.....
362334 BQ 6	GSAAHOMEEQUITYTRUSTGSA_06 SENIOR ABS_06		03/25/2021.	Paydown.....		280	280	170	131		149		149		280		0	0		03/25/2036.	1.D FM.....
41161P ED 1	HARBORVIEW MTG TR HVML_04-3 2.857% 05/		02/01/2021.	Paydown.....		48,630	48,630	48,483	48,513		118		118		48,630		0	0	208	05/19/2034.	1.A FM.....
41161P ED 1	HARBORVIEW MTG TR HVML_04-3 2.857% 05/		03/01/2021.	Paydown.....		5,463	5,463	5,447	5,450		13		13		5,463		0	0	44	05/19/2034.	1.D FM.....
41161P LC 5	HARBORVIEW MTG TR HVML_05-1 0.750% 03/		03/19/2021.	Paydown.....		13,017	11,996	8,706	7,852		5,165		5,165		13,017		0	0	18	03/19/2035.	1.D FM.....
41161P MF 7	HARBORVIEW MTG TR HVML_05-3 0.630% 06/		03/19/2021.	Paydown.....		6,961	6,940	4,603	4,295		2,666		2,666		6,961		0	0	9	06/19/2035.	1.D FM.....
45138L BF 9	IDAHO POWER COMPANY SECURED CORP BND 4.2		01/25/2021.	J.P. MORGAN SECURITIES INC.....		2,647,806	2,100,000	2,761,563	2,756,129		(1,229)		(1,229)		2,754,900		(107,094)	(107,094)	35,770	03/01/2048.	1.G FE.....
46629B AC 3	JP MORGAN MORTGAGE ACQUISITION JPMAC_06-		03/01/2021.	Paydown.....		3,228	3,228	2,253	2,012		1,216		1,216		3,228		0	0	13	08/25/2036.	1.D FM.....
46629Q AC 0	JP MORGAN MORTGAGE ACQUISITION JPMAC_06-CH2 ABS 4.863% 10/25.....		03/01/2021.	Paydown.....		4,860	4,860	3,903	3,483		1,378		1,378		4,860		0	0	30	10/25/2036.	1.D FM.....
46630M AF 9	JP MORGAN MORTGAGE ACQUISITION SENIOR AB		03/01/2021.	Paydown.....		608	608	434	378		230		230		608		0	0	3	01/25/2037.	1.D FM.....
48121@ AD 3	JRD HOLDINGS INC SENIOR CORP BND 4.130		03/27/2021.	Redemption 100.0000.....		143,000	143,000	148,901	145,417		(2,417)		(2,417)		143,000		0	0	2,953	03/27/2024.	2.B PL.....

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**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For rei gn	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
525221	HD 2 LXS 2006-5 LHXSTR_06-2N 2.280% 02/25/3		03/01/2021	Paydown.....		6,046	6,346	4,644	5,225		.820		.820		6,046		.0	.0	.29	02/25/2036	1.D FM.....
525226	AN 6 LEHMAN XS TRUST LXS_06-12N ABS_06-12N 2A		02/25/2021	Paydown.....		7,488	7,488	6,009	6,528		.960		.960		7,488		.0	.0	.5	08/25/2046	1.A FM.....
525226	AN 6 LEHMAN XS TRUST LXS_06-12N ABS_06-12N 2A		03/25/2021	Paydown.....		3,416	3,416	2,742	2,978		.438		.438		3,416		.0	.0	.4	08/25/2046	1.D FM.....
525227	AE 4 LEHMAN XS TRUST LXS_06-GP2 LXS_06-GP2		02/25/2021	Paydown.....		6,791	6,790	5,292	5,982		.809		.809		6,791		.0	.0	.7	06/25/2046	1.A FM.....
525227	AE 4 LEHMAN XS TRUST LXS_06-GP2 LXS_06-GP2		03/25/2021	Paydown.....		3,336	3,336	2,600	2,939		.397		.397		3,336		.0	.0	.5	06/25/2046	1.D FM.....
52522D	AQ 4 LXS_06-16N WHOLE CMO 0.309% 11/25/46		02/25/2021	Paydown.....		8,680	8,680	6,912	7,467		1,214		1,214		8,680		.0	.0	.4	11/25/2046	1.A FM.....
52522D	AQ 4 LXS_06-16N WHOLE CMO 0.309% 11/25/46		03/25/2021	Paydown.....		8,145	8,145	6,485	7,006		1,139		1,139		8,145		.0	.0	.7	11/25/2046	1.D FM.....
52524V	AQ 2 LXS_07-15N CMO_07-15N 4A1 1.009% 08/25		03/25/2021	Paydown.....		31,733	31,733	22,640	24,993		6,739		6,739		31,733		.0	.0	.56	08/25/2047	1.D FM.....
54251P	AA 5 LBMLT LBMLT_06-5 0.389% 06/25/36		02/25/2021	Paydown.....		11,830	11,830	8,884	9,040		2,790		2,790		11,830		.0	.0	.6	06/25/2036	1.A FM.....
54251P	AA 5 LBMLT LBMLT_06-5 0.389% 06/25/36		03/25/2021	Paydown.....		5,493	5,493	4,125	4,198		1,296		1,296		5,493		.0	.0	.5	06/25/2036	1.D FM.....
585055	BS 4 MEDTRONIC INC SENIOR CORP BND 3.500% 0		03/01/2021	Call 112.7420.....		1,573,878	1,396,000	1,383,372	1,390,067		(393)		(393)		1,389,674		6,326	6,326	183,850	03/15/2025	1.G FE.....
59073@	AA 4 MESQUITE POWER LLC SECURED CORP BND 4.		03/31/2021	Redemption 100.0000.....		19,702	19,702	19,702	19,702				.0		19,702		.0	.0	.229	12/31/2039	2.B PL.....
61765N	AD 8 MSRR_2015-R5 MSRM_15-R5 0.518% 10/26/4		02/25/2021	Paydown.....		64,747	63,829	36,162	44,247		20,500		20,500		64,747		.0	.0	.36	10/26/2046	1.A FM.....
61765N	AD 8 MSRR_2015-R5 MSRM_15-R5 0.518% 10/26/4		03/25/2021	Paydown.....		27,816	26,452	14,987	18,337		9,479		9,479		27,816		.0	.0	.32	10/26/2046	1.D FM.....
61945L	AA 1 MOSAICSOLARLOANSLLCMSAIC_1 ABS 19-2A-A 1		03/20/2021	Paydown.....		46,700	46,700	49,662	49,639		(2,940)		(2,940)		46,700		.0	.0	.232	09/20/2040	1.D FE.....
63860H	AD 1 NTSR_07-A NTSR_07-A 0.339% 03/25/37		02/25/2021	Paydown.....		71,156	71,156	62,709	66,690		4,466		4,466		71,156		.0	.0	.32	03/25/2037	1.A FM.....
63860H	AD 1 NTSR_07-A NTSR_07-A 0.339% 03/25/37		03/25/2021	Paydown.....		38,718	38,718	34,122	36,288		2,430		2,430		38,718		.0	.0	.34	03/25/2037	1.D FM.....
65535V	DB 1 NOMURA ASSET ACCEPTANCE CORPOR NAA_04-AP		03/01/2021	Paydown.....		13,165	13,165	12,402	12,054		1,111		1,111		13,165		.0	.0	.120	07/25/2034	1.D FM.....
66988V	AA 6 NOVASTAR HOME EQUITY LOAN NHLE NFHE_06-2		03/25/2021	Paydown.....		13,868	13,868	10,635	10,818		3,050		3,050		13,868		.0	.0	.8	06/25/2036	1.D FM.....
718172	BT 5 PHILIP MORRIS INTL INC SENIOR CORP BND		01/25/2021	WELLS FARGO SECURITIES.....		1,356,275	1,250,000	1,239,938	1,244,465		.70		.70		1,244,536		111,739	111,739	14,514	02/25/2026	1.F FE.....
751150	AA 1 RESIDENTIAL ACCREDIT LOANS IN WHOLE CMO_		03/01/2021	Paydown.....		26,986	25,757	19,463	20,332		6,654		6,654		26,986		.0	.0	.59	09/25/2046	1.D FM.....
751150	AD 5 RESIDENTIAL ACCREDIT LOANS IN WHOLE CMO		03/01/2021	Paydown.....		102	71	54	61		.41		.41		102		.0	.0		09/25/2046	1.D FM.....
75115H	AB 2 RESIDENTIAL ACCREDIT LOANS INC SENIOR AB		02/25/2021	Paydown.....		7,063	7,046	5,188	5,766		1,298		1,298		7,063		.0	.0	.2	12/26/2036	1.A FM.....

QE05.3

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For re ig n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
75115H AB 2	RESIDENTIAL ACCREDIT LOANS INC SENIOR AB		03/25/2021	Paydown.....		1,763	1,763	1,298	1,443		320		320		1,763		0	0	2	12/26/2036	1.D FM.....
76116R AA 9	RESMAE MORTGAGE LOAN TRUST RSMLT_06-1		03/25/2021	Paydown.....		48,171	48,171	28,389	25,191		22,981		22,981		48,171		0	0	36	02/25/2036	1.D FM.....
78396Y AA 1	SESAC INC ABS 19-1-A2 144A 5.216% 07/2		01/25/2021	Paydown.....		3,238	3,238	3,238	3,238				0		3,238		0	0	42	07/25/2049	2.C FE.....
78512* AA 5	S&E REPLACEMENT POWER LLC SENIOR CORP BN		03/31/2021	Redemption 100.0000.....		12,504	12,504	12,504	12,504				0		12,504		0	0	129	05/31/2029	1.D PL.....
78512* AA 5	S&E REPLACEMENT POWER LLC SENIOR CORP BN		02/26/2021	Redemption 100.0000.....		24,879	24,879	24,879	24,879				0		24,879		0	0	121	05/31/2029	1.E PL.....
84762L AS 4	SPECTRUM BRANDS HOLDINGS INC SENIOR CORP		02/19/2021	RBC DOMINION SECURITIES INC.		2,048,000	2,000,000	2,000,000	2,000,000				0		2,000,000	48,000	48,000	48,000	23,139	12/15/2024	4.B FE.....
85022W AP 9	SPRINGCASTLES PVSCFT_20-AA ABS 0-AA-A 144		03/25/2021	Paydown.....		397,648	397,648	397,629	397,629		19		19		397,648		0	0	1,342	09/25/2037	1.A FE.....
86359L QM 4	STRUCTURED ASSET MORTGAGE INVE CMO_05-AR		02/01/2021	Paydown.....		4,169	4,173	3,443	3,215		954		954		4,169		0	0	19	03/25/2046	1.A FM.....
86359L QM 4	STRUCTURED ASSET MORTGAGE INVE CMO_05-AR		03/01/2021	Paydown.....		125	125	103	96		29		29		125		0	0	1	03/25/2046	1.D FM.....
86362X AP 3	SAMI_07-AR1 SUPSEN WHOLE CMO _07-AR1-2A1		02/25/2021	Paydown.....		441	441	354	368		73		73		441		0	0		01/25/2037	1.A FM.....
86362X AP 3	SAMI_07-AR1 SUPSEN WHOLE CMO _07-AR1-2A1		03/25/2021	Paydown.....		245	245	196	204		41		41		245		0	0		01/25/2037	1.D FM.....
86363D AA 9	STRUCTURED ASSET MORTGAGE INVE SUPSEN WH		02/25/2021	Paydown.....		3,119	3,119	2,464	2,573		547		547		3,119		0	0	1	02/25/2037	1.A FM.....
86363D AA 9	STRUCTURED ASSET MORTGAGE INVE SUPSEN WH		03/25/2021	Paydown.....		2,208	2,208	1,744	1,821		387		387		2,208		0	0	2	02/25/2037	1.D FM.....
86363W AG 4	STRUCTURED ASSET SECURITIES CO SASC_07-B		02/25/2021	Paydown.....		4,761	4,761	3,666	4,171		590		590		4,761		0	0	2	05/25/2047	1.A FM.....
86363W AG 4	STRUCTURED ASSET SECURITIES CO SASC_07-B		03/25/2021	Paydown.....		4,233	4,233	3,259	3,709		524		524		4,233		0	0	3	05/25/2047	1.D FM.....
87901J AE 5	TEGNA INC SENIOR CORP BND 144A 4.625%		03/15/2021	Tax Free Exchange.....		909,000	900,000	900,000	900,000				0		900,000	9,000	9,000	9,000	20,813	03/15/2028	3.C FE.....
90138F AC 6	TWILIO INC SENIOR CORP BND 3.625% 03/1		03/16/2021	BANC OF AMERICA SECURITIES LLC		2,035,000	2,000,000	2,000,000					0		2,000,000	35,000	35,000	35,000	1,813	03/15/2029	3.C FE.....
909287 AA 2	UAL PASS THROUGH TRUST SERIES SENIOR COR		01/02/2021	Redemption 100.0000.....		33,401	33,401	29,059	32,496		905		905		33,401		0	0	1,108	07/02/2022	3.B FE.....
92935V AG 3	WF-RBS COMMERCIAL MORTGAGE TRU SENIOR CM		02/01/2021	Paydown.....		3,005,415	3,005,415	2,981,583	2,999,463		5,952		5,952		3,005,415		0	0	15,140	03/15/2044	1.A FM.....
92935V AG 3	WF-RBS COMMERCIAL MORTGAGE TRU SENIOR CM		03/01/2021	Paydown.....		516,793	516,793	512,695	515,769		1,024		1,024		516,793		0	0	5,652	03/15/2044	1.D FM.....

QE05.4



**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

QE05.5

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
93364E AE 4	WAMU ASSET-BACKED CERTIFICATES WMABC_07-		03/25/2021.	Paydown.....		346	346	232	244		102		102		346			0		05/25/2037.	1.D FM.....
93364E AF 1	WAMU ASSET-BACKED CERTIFICATES WMHE_07-H		03/25/2021.	Paydown.....		620	620	440	453		167		167		620			0		05/25/2037.	1.D FM.....
96188# AA 6	WETT HOLDINGS LLC SECURED CORP BND 4.3		03/31/2021.	Redemption 100.0000.....		5,556	5,556	5,556	5,556				0		5,556			0	60	12/18/2024.	2.B PL.....
97806* AG 7	WOLVERINE POWER SUPPLY SENIOR CORP BND		03/10/2021.	Redemption 100.0000.....		25,000	25,000	25,000	25,000				0		25,000			0	479	09/10/2045.	1.F.....
98212B AL 7	WPX ENERGY INC SENIOR CORP BND 4.500%		03/26/2021.	Call 104.5000.....		183,920	176,000	176,000	176,000				0		176,000			0	13,442	01/15/2030.	2.C FE.....
87277* AA 1	TM1505 LLC CORP BND 5.350% 04/05/23		03/05/2021.	Redemption 100.0000.....		43,055	43,055	47,103	44,481		(1,426)		(1,426)		43,055			0	384	04/05/2023.	1.B.....
PP11T1F YI 4	PLENARY HEALTH NORTH BAY FINCO PLENARY H		03/13/2021.	Redemption 100.0000.....		8,165	8,165	8,827	8,655		(558)		(558)	62	8,165	6		6	72	03/13/2040.	2.A FE.....
000000 00 0	SUMMARY ADJUSTMENT.....		03/31/2021.	Various.....		(602)							0		(601)	(602)		(602)		04/01/2021.	
00652M AC 6	ADANI PORT AND SPECIAL ECONOMI ADANI POR	D	03/04/2021.	Call 102.9329.....		1,955,725	1,900,000	1,947,500	1,911,531		(1,852)		(1,852)		1,909,680		(9,680)	(9,680)	102,632	01/19/2022.	2.C FE.....
761735 AT 6	REYNOLDS GROUP ISSUER LLC SECURED CORP B	C	02/14/2021.	Call 101.2810.....		3,038	3,000	3,000	3,000				0		3,000			0	128	07/15/2023.	4.A FE.....
G2735* AA 9	GREAT ROLLING STOCK CO LTD/THE SECURED C	B	03/31/2021.	Redemption 100.0000.....		46,340	46,340	45,025	45,911				0	(886)	46,340	1,314		1,314	360	11/30/2027.	2.B Z.....
L8038* AA 4	SBM BALEIA AZUL SARL CORP BND 5.500%	D	03/15/2021.	Redemption 100.0000.....		66,800	66,800	50,222	55,202		11,598		11,598		66,800			0	919	09/15/2027.	3.C.....
38999999.	Total - Bonds - Industrial and Miscellaneous.....					29,473,055	27,730,839	28,061,339	26,094,534	0	260,988	0	260,988	(824)	28,355,416	718	845,979	846,697	549,773	XXX	XXX
83999997.	Total - Bonds - Part 4.....					33,979,013	31,984,610	32,375,891	30,410,369	0	188,881	0	188,881	(824)	32,599,140	718	1,108,212	1,108,930	610,506	XXX	XXX
83999999.	Total - Bonds.....					33,979,013	31,984,610	32,375,891	30,410,369	0	188,881	0	188,881	(824)	32,599,140	718	1,108,212	1,108,930	610,506	XXX	XXX
99999999.	Total - Bonds, Preferred and Common Stocks.....					33,979,013	XXX	32,375,891	30,410,369	0	188,881	0	188,881	(824)	32,599,140	718	1,108,212	1,108,930	610,506	XXX	XXX

# Brighthouse Life Insurance Company of NY

## SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	C o d e	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)
<b>Purchased Options - Hedging Other - Call Options and Warrants</b>																						
MAR19TSLAUSC@380 SEP24 SPX C @ 2914 BHF1S78B6 Premium at Maturity 2024-10-02	Variable Annuities.....	Exh 5.....	Equity/ Index	JPMORGAN CHASE BANK N.A. 7H6GLXDRUGQFU57RNE97.	09/30/2019.....	09/30/2024.....	247,083	719,999,862	2914.....	- .....	125,067,556	- .....	298,896,077		298,896,077	43,668,489	- .....	1,382,140	- .....	- .....		0001 .....
MAR19TSLAUSC@380 SEP21RTYC@1508 BHF2AP3M4	Variable Annuities.....	Exh 5.....	Equity/ Index	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573.....	10/12/2020.....	09/17/2021.....	17,673	26,650,884	1508.....	4,272,094	- .....	- .....	12,766,387		12,766,387	3,836,255	- .....	- .....	- .....	- .....		0001 .....
MAR19TSLAUSC@380 NOV21MXEAC@2198 BHF2CN8Q3	Variable Annuities.....	Exh 5.....	Equity/ Index	CITIBANK NA..... E57ODZWZ7FF32TWEFA76..	11/23/2020.....	11/19/2021.....	4,948	10,875,704	2198.....	305,673	- .....	- .....	537,972		537,972	22,493	- .....	- .....	- .....	- .....		0001 .....
MAR19TSLAUSC@380 JAN22MXEAC@2193 BHF2FV775	Variable Annuities.....	Exh 5.....	Equity/ Index	BANK OF AMERICA NA B4TYDEB6GKMZO031MB27..	01/27/2021.....	01/14/2022.....	9,026	19,794,018	2193.....	- .....	1,188,183	- .....	1,137,767		1,137,767	(50,416)	- .....	- .....	- .....	- .....		0001 .....
MAR19TSLAUSC@380 JAN22SPXC@3801 BHF2FV7F7	Variable Annuities.....	Exh 5.....	Equity/ Index	BANK OF AMERICA NA B4TYDEB6GKMZO031MB27..	01/26/2021.....	01/14/2022.....	21,539	81,869,739	3801.....	- .....	7,270,705	- .....	7,602,505		7,602,505	331,800	- .....	- .....	- .....	- .....		0001 .....
0159999999. Total-Purchased Options-Hedging Other-Call Options and Warrants.....										4,577,767	133,526,444	0	320,940,708	XXX	320,940,708	47,808,621	0	1,382,140	0	0	XXX	XXX
<b>Purchased Options - Hedging Other - Put Options</b>																						
PUT OPTION SEP21RTYP@1206 BHF2AP2N3	Variable Annuities.....	Exh 5.....	Equity/ Index	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573.....	10/12/2020.....	09/17/2021.....	26,150	31,536,900	1206.....	1,129,680	- .....	- .....	175,431		175,431	(375,173)	- .....	- .....	- .....	- .....		0001 .....
PUT OPTION NOV21MXEAP@1598 BHF2CN8P5	Variable Annuities.....	Exh 5.....	Equity/ Index	CITIBANK NA..... E57ODZWZ7FF32TWEFA76..	11/23/2020.....	11/19/2021.....	13,493	21,561,814	1598.....	607,185	- .....	- .....	205,034		205,034	(296,276)	- .....	- .....	- .....	- .....		0001 .....
0169999999. Total-Purchased Options-Hedging Other-Put Options.....										1,736,865	0	0	380,465	XXX	380,465	(671,449)	0	0	0	0	XXX	XXX
<b>Purchased Options - Hedging Other - Caps</b>																						
Interest Rate Swap With DMGSW RCV ULB3 PAY 5.00 02/05/2028 BME2HCYG5	Asset Portfolio.....	D 1.....	Interest Rate	DEUTSCHE BANK AG 7LTFWZYICNSX8D621K86....	02/01/2018.....	02/05/2028.....	- .....	250,000,000	0.05.....	3,317,500	- .....	- .....	1,514,414		1,514,414	1,157,807	- .....	- .....	- .....	- .....		0004 .....
Interest Rate Swap With JPMORGAN CHASE BK RCV ULB3 PAY 5.00 02/05/2028 BME2HCYJ9	Asset Portfolio.....	D 1.....	Interest Rate	JPMORGAN CHASE BANK N.A. 7H6GLXDRUGQFU57RNE97.	02/01/2018.....	02/05/2028.....	- .....	150,000,000	0.05.....	2,160,000	- .....	- .....	908,648		908,648	694,684	- .....	- .....	- .....	- .....		0004 .....
Interest Rate Swap With PARSW RCV ULB3 PAY 5.00 02/06/2028 BME2HF2N8	Asset Portfolio.....	D 1.....	Interest Rate	BNP PARIBAS..... R0MUWSFPU8MPRO8K5P83	02/02/2018.....	02/06/2028.....	- .....	200,000,000	0.05.....	3,111,000	- .....	- .....	1,211,450		1,211,451	926,183	- .....	- .....	- .....	- .....		0004 .....
Interest Rate Swap With PARSW RCV ULB3 PAY 5.00 02/08/2028 BME2HLAA4	Asset Portfolio.....	D 1.....	Interest Rate	BNP PARIBAS..... R0MUWSFPU8MPRO8K5P83	02/06/2018.....	02/08/2028.....	- .....	200,000,000	0.05.....	2,960,000	- .....	- .....	1,212,410		1,212,410	927,030	- .....	- .....	- .....	- .....		0004 .....
0179999999. Total-Purchased Options-Hedging Other-Caps.....										11,548,500	0	0	4,846,922	XXX	4,846,923	3,705,704	0	0	0	0	XXX	XXX
<b>Purchased Options - Hedging Other - Collars</b>																						
PUT OPTION SEP24 SPX P @ 2622.6 09/30/2024 2622.6 PUT BHF1S77R2; PUT OPTION SEP24 SPX P @ 2039.8 09/30/2024 2039.8 PUT BHF1S77N1 Premium at Maturity 2024-10-02	Variable Annuities.....	Exh 5.....	Equity/ Index	JPMORGAN CHASE BANK N.A. 7H6GLXDRUGQFU57RNE97.	09/30/2019.....	09/30/2024.....	228,781	533,334,284	2622.6 / 2039.8	- .....	(42,222,034)	- .....	(20,296,398)		(20,296,398)	5,222,019	- .....	(466,602)	- .....	4,991,813		0001 .....
PUT OPTION APR21SPXP@2790 04/16/2021 2790. PUT BHF22M9N2; PUT OPTION APR21SPXP@1953 04/16/2021 1953. PUT BHF22M9P7	Variable Annuities.....	Exh 5.....	Equity/ Index	CITIBANK NA..... E57ODZWZ7FF32TWEFA76..	05/05/2020.....	04/16/2021.....	27,820	65,975,130	2790 / 1953.....	(5,693,641)	- .....	- .....	(6,895)		(6,895)	441,151	- .....	- .....	- .....	69,066		0001 .....

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)
PUT OPTION NOV21MXEAP@1998 11/19/2021 1998. PUT BHF2CN8K6; PUT OPTION NOV21MXEAP@1598 11/19/2021 1598. PUT BHF2CN8N0	Variable Annuities.....	Exh 5.....	Equity/ Index	CITIBANK NA..... E57ODZWZ7FF32TWEFA76..	11/23/2020.....	11/19/2021.....	50,734	91,219,732	1998 / 1598.....	(4,921,198)	-	-	(2,279,206)		(2,279,206)	1,485,378	-	-	-	364,410		0001
0199999999. Total-Purchased Options-Hedging Other-Collars.....										(10,614,839)	(42,222,034)	0	(22,582,499)	XXX	(22,582,499)	7,148,548	0	(466,602)	0	5,425,289	XXX	XXX
0219999999. Total-Purchased Options-Hedging Other.....										7,248,293	91,304,410	0	303,585,596	XXX	303,585,597	57,991,424	0	915,538	0	5,425,289	XXX	XXX
<b>Total Purchased Options</b>																						
0439999999. Total-Purchased Options-Call Options and Warrants.....										4,577,767	133,526,444	0	320,940,708	XXX	320,940,708	47,808,621	0	1,382,140	0	0	XXX	XXX
0449999999. Total-Purchased Options-Put Options.....										1,736,865	0	0	380,465	XXX	380,465	(671,449)	0	0	0	0	XXX	XXX
0459999999. Total-Purchased Options-Caps.....										11,548,500	0	0	4,846,922	XXX	4,846,923	3,705,704	0	0	0	0	XXX	XXX
0479999999. Total-Purchased Options-Collars.....										(10,614,839)	(42,222,034)	0	(22,582,499)	XXX	(22,582,499)	7,148,548	0	(466,602)	0	5,425,289	XXX	XXX
0499999999. Total-Purchased Options.....										7,248,293	91,304,410	0	303,585,596	XXX	303,585,597	57,991,424	0	915,538	0	5,425,289	XXX	XXX
<b>Written Options - Hedging Other - Call Options and Warrants</b>																						
MAR19TSLAUSC@380 SEP24SPXC@4808 BHF1N6H80 Premium at Maturity 2024-10-02	Variable Annuities.....	Exh 5.....	Equity/ Index	JPMORGAN CHASE BANK N.A. 7H6GLXDRUGQFU57RNE97.	06/27/2019.....	09/30/2024.....	205,903	989,979,508	4808.....	(8,054,638)	-	-	(45,283,593)		(45,283,593)	(11,750,968)	-	(89,013)	-	-	-	0001
MAR19TSLAUSC@380 NOV21SPXC@3585 BHF2CN8T7	Variable Annuities.....	Exh 5.....	Equity/ Index	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573.....	11/23/2020.....	11/19/2021.....	5,579	20,000,715	3585.....	(1,498,519)	-	-	(2,746,292)		(2,746,292)	(622,072)	-	-	-	-	-	0001
MAR19TSLAUSC@380 DEC21RTYC@1912 BHF2E2NL1	Variable Annuities.....	Exh 5.....	Equity/ Index	JPMORGAN CHASE BANK N.A. 7H6GLXDRUGQFU57RNE97.	12/21/2020.....	12/17/2021.....	12,630	24,148,560	1912.....	(2,699,405)	-	-	(4,841,653)		(4,841,653)	(2,011,001)	-	-	-	-	-	0001
MAR19TSLAUSC@380 DEC21SPXC@3663 BHF2E2NN7	Variable Annuities.....	Exh 5.....	Equity/ Index	MORGAN STANLEY & CO INTL. PLC 4PQUHN3JPFQFN3BB653.....	12/21/2020.....	12/17/2021.....	43,562	159,567,606	3663.....	(13,387,909)	-	-	(19,274,184)		(19,274,184)	(4,509,243)	-	-	-	-	-	0001
MAR19TSLAUSC@380 JAN22RTYC@2128 BHF2FV7D2	Variable Annuities.....	Exh 5.....	Equity/ Index	MORGAN STANLEY & CO INTL. PLC 4PQUHN3JPFQFN3BB653.....	01/27/2021.....	01/14/2022.....	35,245	75,001,360	2128.....	(7,750,587)	-	-	(8,365,828)		(8,365,828)	(615,241)	-	-	-	-	-	0001
MAR19TSLAUSC@380 FEB22SPXC@3935 BHF2HKVF2	Variable Annuities.....	Exh 5.....	Equity/ Index	JPMORGAN CHASE BANK N.A. 7H6GLXDRUGQFU57RNE97.	02/24/2021.....	02/18/2022.....	10,443	41,093,205	3935.....	(3,218,428)	-	-	(2,909,280)		(2,909,280)	309,148	-	-	-	-	-	0001
0649999999. Total-Written Options-Hedging Other-Call Options and Warrants.....										(17,585,833)	(19,023,653)	0	(83,420,830)	XXX	(83,420,830)	(19,199,377)	0	(89,013)	0	0	XXX	XXX
<b>Written Options - Hedging Other - Put Options</b>																						
PUT OPTION AUG21MXEAP@1598 BHF28X0U5	Variable Annuities.....	Exh 5.....	Equity/ Index	MORGAN STANLEY & CO INTL. PLC 4PQUHN3JPFQFN3BB653.....	09/10/2020.....	08/20/2021.....	23,469	37,503,462	1598.....	(1,603,320)	-	-	(146,932)		(146,932)	462,856	-	-	-	-	-	0001
PUT OPTION AUG21RTYP@1552 BHF28X0Y7	Variable Annuities.....	Exh 5.....	Equity/ Index	BANK OF AMERICA NA B4TYDEB6GKMZO031MB27..	09/09/2020.....	08/20/2021.....	69,208	107,410,816	1552.....	(11,899,762)	-	-	(1,085,408)		(1,085,408)	2,472,185	-	-	-	-	-	0001
PUT OPTION SEP21SPXP@3195 BHF2AP3S1	Variable Annuities.....	Exh 5.....	Equity/ Index	JPMORGAN CHASE BANK N.A. 7H6GLXDRUGQFU57RNE97.	10/12/2020.....	09/17/2021.....	36,923	117,968,985	3195.....	(7,334,754)	-	-	(1,820,561)		(1,820,561)	2,633,704	-	-	-	-	-	0001
PUT OPTION NOV21SPXP@3585 BHF2CN8R1	Variable Annuities.....	Exh 5.....	Equity/ Index	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573.....	11/23/2020.....	11/19/2021.....	7,810	27,998,850	3585.....	(2,430,863)	-	-	(1,026,628)		(1,026,628)	886,600	-	-	-	-	-	0001

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**SCHEDULE DB - PART A - SECTION 1**

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)	
PUT OPTION DEC21SPXP@3297 BHF2E2NM9	Variable Annuities.....	Exh 5.....	Equity/ Index	MORGAN STANLEY & CO INTL. PLC 4PQUHN3JPFQFNF3BB653...	12/21/2020...	12/17/2021....	40,792	134,491,224	3297.....	(8,256,301)	-	-	(3,835,710)		(3,835,710)	3,513,984	-	-	-	-	-	0001	
PUT OPTION JAN22RTYP@2128 BHF2FV7C4	Variable Annuities.....	Exh 5.....	Equity/ Index	MORGAN STANLEY & CO INTL. PLC 4PQUHN3JPFQFNF3BB653...	01/27/2021....	01/14/2022....	25,939	55,198,192	2128.....	-	(6,431,106)	-	(4,063,813)		(4,063,813)	2,367,292	-	-	-	-	-	0001	
0659999999. Total-Written Options-Hedging Other-Put Options.....										(31,525,000)	(6,431,106)	0	(11,979,052)	XXX	(11,979,052)	12,336,621	0	0	0	0	0	XXX	XXX
0709999999. Total-Written Options-Hedging Other.....										(49,110,833)	(25,454,759)	0	(95,399,882)	XXX	(95,399,882)	(6,862,756)	0	(89,013)	0	0	0	XXX	XXX
<b>Total Written Options</b>																							
0929999999. Total-Written Options-Call Options and Warrants.....										(17,585,833)	(19,023,653)	0	(83,420,830)	XXX	(83,420,830)	(19,199,377)	0	(89,013)	0	0	0	XXX	XXX
0939999999. Total-Written Options-Put Options.....										(31,525,000)	(6,431,106)	0	(11,979,052)	XXX	(11,979,052)	12,336,621	0	0	0	0	0	XXX	XXX
0989999999. Total-Written Options.....										(49,110,833)	(25,454,759)	0	(95,399,882)	XXX	(95,399,882)	(6,862,756)	0	(89,013)	0	0	0	XXX	XXX
<b>Swaps - Hedging Effective - Excluding Variable Annuity Guarantees Under SSAP No. 108 - Foreign Exchange</b>																							
Currency Swap With CITIBANK NA RCV 5.10 PAY 3.60 07/30/2034 BME0PLUR0	BME0PPGA4 ELENIA FINANCE OYJ	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	07/25/2014....	07/30/2034....	-	2,688,200	5.1%[3.601%]	-	-	13,373	337,600		223,611	96,500	-	-	-	49,091	-	100 / 100	
Currency Swap With CITIGROUP INC - LT GTD RCV 3.82 PAY 1.97 02/03/2024 BME0W0DT3	BME0W0DG1 ERAC UK FINANCE LTD/ EHI INTERNATI	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	12/04/2014....	02/03/2024....	-	2,721,620	3.815%[1.966 %]	-	-	13,546	135,960		202,415	106,150	-	-	-	22,959	-	100 / 100	
Currency Swap With CITIGROUP INC - LT GTD RCV 4.02 PAY 2.27 02/03/2027 BME0W0DX4	BME0W0DJ5 ERAC UK FINANCE LTD/ EHI INTERNATI	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	12/04/2014....	02/03/2027....	-	8,300,941	4.02%[2.272 %]	-	-	39,676	414,678		477,176	323,758	-	-	-	100,381	-	100 / 100	
Currency Swap With CITIBANK NA RCV 3.73 PAY 2.97 10/15/2035 BME12GV69	BME12ETP5 HEATHROW AIRPORT LTD	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	04/15/2015....	10/15/2035....	-	11,362,890	3.7255%[2.97 %]	-	-	26,335	739,200		444,987	(98,176)	-	-	-	216,721	-	100 / 100	
Currency Swap With CITIBANK NA RCV 4.27 PAY 3.68 05/15/2030 BME134325	BME13EV06 SOUTH WEST AIRPORTS LIMITED	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	04/24/2015....	05/15/2030....	-	1,332,740	4.2675%[3.68 %]	-	-	3,061	119,984		114,535	(11,207)	-	-	-	20,134	-	100 / 100	
Currency Swap With CITIBANK NA RCV 5.11 PAY 5.18 03/13/2040 BME1QZ9A7	72908P9A6 PLENARY HEALTH NORTH BAY	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	04/27/2016....	03/13/2040....	-	1,078,519	5.105%[5.182 %]	-	-	(231)	411		19,639	(13,015)	-	-	-	23,484	-	100 / 100	
Currency Swap With CITIGROUP INC - LT GTD RCV 3.94 PAY 3.68 09/20/2027 BME2H2H8	BME26P2Y4 KEYERA CORP.....	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	06/20/2017....	09/20/2027....	-	5,349,608	3.943%[3.68 %]	-	-	(492)	(301,450)		(169,861)	(76,045)	-	-	-	68,072	-	100 / 100	
Currency Swap With CITICORP SECURITIES MARKETS RCV 4.69 PAY 2.55 09/30/2028 BME283W10	BME284LX0 SCANDLINES APS....	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	07/05/2017....	09/30/2028....	-	5,089,500	4.693%[2.55 %]	-	-	25,996	(190,800)		(111,502)	217,125	-	-	-	69,723	-	100 / 100	
Currency Swap With CITIGROUP INC - LT GTD RCV 3.78 PAY 4.86 10/01/2032 BME29Y83	BME297XN1 AUSGRID FINANCE PTY LTD	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	08/02/2017....	10/01/2032....	-	4,944,128	3.7775%[4.85 7%]	-	-	(9,896)	219,790		(94,558)	62,000	-	-	-	83,877	-	100 / 100	
Currency Swap With CITIBANK NA RCV 3.16 PAY 1.05 09/06/2023 BME29A3H0	BME299RD6 SPIRAX-SARCO ENGINEERING PLC	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	08/03/2017....	09/06/2023....	-	4,077,900	3.155%[1.05 %]	-	-	21,796	43,470		132,256	166,463	-	-	-	31,821	-	100 / 100	
Currency Swap With CITICORP SECURITIES MARKETS RCV 4.09 PAY 4.97 12/14/2027 BME2C8F13	BME2C82S8 BROADCAST AUSTRALIA FINANCE PTY LT	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	10/17/2017....	12/14/2027....	-	4,310,900	4.088%[4.97 %]	-	-	(7,863)	117,975		(74,431)	55,000	-	-	-	55,832	-	100 / 100	

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# Brighthouse Life Insurance Company of NY

## SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	C o d e	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)
Currency Swap With BARC RCV 4.20 PAY 5.17 12/14/2029 BME2C8F70	BME2C87K0 BROADCAST AUSTRALIA FINANCE PTY LT	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	10/17/2017...	12/14/2029....	- .....	1,097,320	4.202%[5.17 %]	- .....	- .....	(2,221)	30,030		(27,973)	- .....	14,000	- .....	- .....	16,195		100 / 100 ..
Currency Swap With BARC RCV 4.19 PAY 2.03 12/15/2027 BME2D5E50	BME2D5BL8 VTTI BV.....	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	11/02/2017....	12/15/2027....	- .....	4,660,800	4.186%[2.03 %]	- .....	- .....	25,091	(31,800)		41,934	- .....	193,000	- .....	- .....	60,376		100 / 100 ..
Currency Swap With CITIBANK NA RCV 4.51 PAY 3.26 05/31/2033 BME2DJ6E0	BME2DHPZ6 ANNO 2017.....	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	11/09/2017....	05/31/2033....	- .....	4,699,453	4.512%[3.263 %]	- .....	- .....	13,157	(141,961)		(158,026)	- .....	(36,114)	- .....	- .....	81,989		100 / 101 ..
Currency Swap With CITICORP SECURITIES MARKETS RCV 3.56 PAY 1.42 02/15/2028 BME2E0ZH1	BME2EA707 DIMENSIONAL FUND ADVISORS LP	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	11/21/2017....	02/15/2028....	- .....	704,100	3.56%[1.42%]	- .....	- .....	3,757	(1,650)		12,390	- .....	28,950	- .....	- .....	9,236		100 / 100 ..
Currency Swap With CITIBANK NA RCV 4.44 PAY 3.15 11/30/2027 BME2F2LS7	BME2EEMP7 ANGEL TRAINS ROLLING STOCK	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	12/04/2017....	11/30/2027....	- .....	6,856,473	4.435%[3.15 %]	- .....	- .....	22,383	(140,325)		50,747	- .....	(27,455)	- .....	- .....	88,547		100 / 100 ..
Currency Swap With CREDIT AGRICOLE CORPORATE AND RCV 4.14 PAY 2.64 06/08/2048 BME2K2RW6	BME2K2ER1 WADHAM COLLEGE	D 1.....	Currency	CREDIT AGRICOLE CIB 1VUV7VQFKUOQSJ21A208...	03/08/2018....	06/08/2048....	- .....	2,081,250	4.1425%[2.64 %]	- .....	- .....	7,951	4,875		91,679	- .....	(19,125)	- .....	- .....	54,281		100 / 101 ..
Currency Swap With BARCLAYS PLC RCV 4.82 PAY 3.26 06/20/2030 BME2NCSF7	BME2NCQF9 SAVILLS HOLDING COMPANY LIMITED	D 1.....	Currency	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573....	05/22/2018....	06/20/2030....	- .....	2,700,033	4.824%[3.26 %]	- .....	- .....	9,935	(75,777)		70,011	- .....	(25,628)	- .....	- .....	41,009		100 / 100 ..
Currency Swap With CREDIT AGRICOLE CORPORATE AND RCV 4.74 PAY 2.97 01/15/2049 BME2Y7K23	BME2Y7B64 QUEEN MARY UNIVERSITY OF LONDON	D 1.....	Currency	CREDIT AGRICOLE CIB 1VUV7VQFKUOQSJ21A208...	10/31/2018....	01/15/2049....	- .....	5,740,650	4.74%[2.97%]	- .....	- .....	22,585	(458,775)		(211,563)	- .....	(57,375)	- .....	- .....	151,377		100 / 100 ..
Currency Swap With BARCLAYS BANK PLC RCV 6.30 PAY BLB6 04/25/2033 BRSK9U8N2	BRSK7ZXA3 ABP ACQUISITIONS UK LTD	D 1.....	Currency	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573....	03/16/2012....	04/25/2033....	- .....	3,962,500	6.301% / (GBP6MLIB+3 28.1BP)	- .....	- .....	34,245	513,249		1,064,367	- .....	(31,875)	- .....	- .....	68,852		100 / 100 ..
Currency Swap With CITIBANK NA RCV 5.99 PAY 5.53 07/01/2023 BRSK9UNA3	BRSKGGCY6 WORKSPACE GROUP PLC	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	06/06/2013....	07/01/2023....	- .....	1,551,000	5.985%[5.53 %]	- .....	- .....	4,132	171,300		202,798	- .....	(12,750)	- .....	- .....	11,638		100 / 100 ..
Currency Swap With NATIONAL AUSTRALIA BANK LTD RCV 4.56 PAY 6.28 08/14/2029 BRSNBMT3	BME0M8KT9 QPH FINANCE CO PTY LTD	D 1.....	Currency	UBS AG..... BFM8T61CT2L1QCEMIK50....	06/11/2014....	08/14/2029....	- .....	469,350	4.555%[6.28 %]	- .....	- .....	(725)	88,525		63,216	- .....	5,000	- .....	- .....	6,793		100 / 100 ..
1019999999. Total-Swaps-Hedging Effective-Excluding Variable Annuity Guarantees Under SSAP No. 108-Foreign Exchange.....										0	0	265,591	1,594,509	XXX	2,363,847	0	859,181	0	0	1,332,388	XXX	XXX
1049999999. Total-Swaps-Hedging Effective-Excluding Variable Annuity Guarantees Under SSAP No. 108.....										0	0	265,591	1,594,509	XXX	2,363,847	0	859,181	0	0	1,332,388	XXX	XXX

QE063

**Swaps - Hedging Other - Foreign Exchange**

Currency Swap With CITIGROUP INC - LT GTD RCV 3.75 PAY 1.77 08/17/2027 BME26BWA4	BME26GCJ6 SEGRO PLC.....	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	05/24/2017....	08/17/2027....	- .....	2,236,000	3.75%[1.77%]	- .....	- .....	10,702	(106,726)		(106,726)	- .....	35,856	- .....	- .....	28,247		0009 .....
Currency Swap With BARCLAYS BANK PLC RCV 6.48 PAY 6.55 12/10/2037 BRSK9UCP2	BRSK804T0 PEEL PORTS PP FINANCE LIMITED	D 1.....	Currency	BARCLAYS BANK PLC G5GSEF7VJP517OUK5573....	11/20/2012....	12/10/2037....	- .....	2,387,400	6.475%[6.55 %]	- .....	- .....	4,421	197,210		197,210	- .....	(94,615)	- .....	- .....	48,791		0009 .....

# Brighthouse Life Insurance Company of NY

## SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)
Currency Swap With DEUTSCHE BANK AG RCV 5.15 PAY BLB6 12/19/2036 BRSK9UDN6	BRSK7ZYB0 EVERSOLT FUNDING PLC	D 1.....	Currency	DEUTSCHE BANK AG 7LWTFZYICNSX8D621K86...	12/12/2012...	12/19/2036...	-	6,446,800	5.15% / (GBP6MLIB+2 33BP)	-	-	50,957	1,661,417		1,661,417	(736,119)	-	-	-	127,850		0009
Currency Swap With CITIBANK NA RCV 7.01 PAY 6.46 12/05/2033 BRSLHBBW2	BME0L3SB2 Com - Edwardian Hotel Group Upsize	D 1.....	Currency	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	12/02/2013...	12/05/2033...	-	5,228,800	7.012%(6.46 %)	-	-	19,751	966,169		966,169	(257,964)	-	-	-	93,134		0009
1139999999. Total-Swaps-Hedging Other-Foreign Exchange.....										0	0	85,831	2,718,070	XXX	2,718,070	(1,052,842)	0	0	0	298,022	XXX	XXX

**Swaps - Hedging Other - Total Return**

Total Return Swap With BNP PARIBAS SA R Tot Ret PAY FEDL 06/04/2021 BHF245SC0	Variable Annuities.....	Exh 5.....	Equity/ Index	BNP PARIBAS..... R0MUWSFPU8MPRO8K5P83	06/05/2020...	06/04/2021...	-	41,022,911	SPTR / (FEDL01+35B P)	-	-	(43,205)	2,270,318		2,270,318	1,615,660	-	-	-	86,558		0001
Total Return Swap With CITIBANK NA R Tot Ret PAY FEDL 09/04/2026 BHF29D1V5	Variable Annuities.....	Exh 5.....	Equity/ Index	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	09/11/2020...	09/04/2026...	-	157,619,516	SPTR / (FEDL01+48B P)	-	-	(205,974)	1,465,590		1,465,590	(2,336,205)	-	-	-	1,836,940		0001
Total Return Swap With BNP PARIBAS SA RCV FEDL P Tot Ret 09/07/2021 BHF29D1Y9	Variable Annuities.....	Exh 5.....	Equity/ Index	BNP PARIBAS..... R0MUWSFPU8MPRO8K5P83	09/11/2020...	09/07/2021...	-	15,473,684	Russell 2000 TR / (FEDL01+12B P)	-	-	6,498	769,176		769,176	1,197,399	-	-	-	51,224		0001
Total Return Swap With WELLS FARGO BANK NA R Tot Ret PAY FEDL 01/26/2022 BHF2FX1B8	Variable Annuities.....	Exh 5.....	Equity/ Index	WELLS FARGO BANK NA KB1H1DSPRFMYMCFXT09.	01/26/2021...	01/26/2022...	-	52,681,283	SPTR / (FEDL01+41B P)	-	-	(43,872)	1,849,295		1,849,295	1,849,295	-	-	-	239,201		0001
Total Return Swap With CITIBANK NA R Tot Ret PAY FEDL 01/27/2022 BHF2G03K7	Variable Annuities.....	Exh 5.....	Equity/ Index	CITIBANK NA..... E57ODZWZ7FF32WEFA76..	01/27/2021...	01/27/2022...	-	19,493,786	MSCI Daily TR Gross EAFE USD / (FEDL01+39B P)	-	-	(15,308)	483,049		483,049	483,049	-	-	-	88,659		0001
Total Return Swap With BANK OF AMERICA NA R Tot Ret PAY FEDL 02/24/2022 BHF2HMHR8	Variable Annuities.....	Exh 5.....	Equity/ Index	BANK OF AMERICA NA B4TYDEB6GKMZO031MB27..	02/24/2021...	02/24/2022...	-	121,650,594	SPTR / (FEDL01+37.5 BP)	-	-	(49,623)	1,663,020		1,663,020	1,663,020	-	-	-	578,355		0001
Total Return Swap With JPMORGAN CHASE BANK NA R Tot Ret PAY FEDL 03/18/2022 BHF2K2153	Variable Annuities.....	Exh 5.....	Equity/ Index	JPMORGAN CHASE BANK N.A. 7H6GLXDRUGQFU57RNE97.	03/19/2021...	03/18/2022...	-	75,345,867	GDDUEAFE INDEX / (1D USOIS + 45BP)	-	-	(7,618)	(549,166)		(549,166)	(549,166)	-	-	-	369,960		0001
Total Return Swap With GOLDMAN SACHS INTERNATIONAL R Tot Ret PAY FEDL 03/18/2022 BHF2K2XP4	Variable Annuities.....	Exh 5.....	Equity/ Index	GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528...	03/19/2021...	03/18/2022...	-	201,716,940	RU20INTR INDEX / (1D USOIS + 20BP)	-	-	(12,103)	(6,223,264)		(6,223,264)	(6,223,264)	-	-	-	990,461		0001
Total Return Swap With GOLDMAN SACHS INTERNATIONAL R Tot Ret PAY FEDL 03/18/2022 BHF2K3G22	Variable Annuities.....	Exh 5.....	Equity/ Index	GOLDMAN SACHS INTERNATIONAL W22LROWP2IHZNBB6K528...	03/19/2021...	03/18/2022...	-	209,908,580	SPTR INDEX / (1D USOIS + 40BP)	-	-	(21,924)	2,949,102		2,949,102	2,949,102	-	-	-	1,030,683		0001
1149999999. Total-Swaps-Hedging Other-Total Return.....										0	0	(393,129)	4,677,120	XXX	4,677,120	648,890	0	0	0	5,272,041	XXX	XXX
1169999999. Total-Swaps-Hedging Other.....										0	0	(307,298)	7,395,190	XXX	7,395,190	(403,952)	0	0	0	5,570,063	XXX	XXX

**Total - Swaps**

QE064

# Brighthouse Life Insurance Company of NY

## SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	C o d e	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)
1379999999	Total-Swaps-Foreign Exchange									0	0	351,422	4,312,579	XXX	5,081,917	(1,052,842)	859,181	0	0	1,630,410	XXX	XXX
1389999999	Total-Swaps-Total Return									0	0	(393,129)	4,677,120	XXX	4,677,120	648,890	0	0	0	5,272,041	XXX	XXX
1409999999	Total-Swaps									0	0	(41,707)	8,989,699	XXX	9,759,037	(403,952)	859,181	0	0	6,902,451	XXX	XXX
<b>Totals</b>																						
1689999999	Total-Hedging Effective-Excluding Variable Annuity Guarantees Under SSAP No. 108									0	0	265,591	1,594,509	XXX	2,363,847	0	859,181	0	0	1,332,388	XXX	XXX
1709999999	Total-Hedging Other									(41,862,540)	65,849,651	(307,298)	215,580,904	XXX	215,580,905	50,724,716	0	826,525	0	10,995,352	XXX	XXX
1759999999	TOTAL									(41,862,540)	65,849,651	(41,707)	217,175,413	XXX	217,944,752	50,724,716	859,181	826,525	0	12,327,740	XXX	XXX

QE06.5

**SCHEDULE DB - PART B - SECTION 1**

Futures Contracts Open as of the Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	Highly Effective Hedges			18	19	20	21	22
														15	16	17					
Ticker Symbol	Number of Contracts	Notional Amount	Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Date of Maturity or Expiration	Exchange	Trade Date	Transaction Price	Reporting Date Price	Fair Value	Book/Adjusted Carrying Value	Cumulative Variation Margin	Deferred Variation Margin	Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item	Cumulative Variation Margin for All Other Hedges	Change in Variation Margin Gain (Loss) Recognized in Current Year	Potential Exposure	Hedge Effectiveness at Inception and at Year-end (b)	Value of One (1) Point

**NONE**

QE07



# Brighthouse Life Insurance Company of NY

## SCHEDULE DB - PART D - SECTION 1

### Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1 Description of Exchange, Counterparty or Central Clearinghouse	2 Master Agreement (Y or N)	3 Credit Support Annex (Y or N)	Counterparty Offset		Book Adjusted Carrying Value			Fair Value			12 Potential Exposure	13 Off-Balance Sheet Exposure	
			4 Fair Value of Acceptable Collateral	5 Present Value of Financing Premium	6 Contracts with Book/Adjusted Carrying Value > 0	7 Contracts with Book/Adjusted Carrying Value < 0	8 Exposure Net of Collateral	9 Contracts with Fair Value > 0	10 Contracts with Fair Value < 0	11 Exposure Net of Collateral			
<b>NAIC 1 Designation</b>													
BANK OF AMERICA NA.....	B4TYDEB6GKMZO031MB27	Y.....	Y.....	.....8,792,421	.....	.....10,403,291	.....(1,085,408)	.....525,462	.....10,403,292	.....(1,085,408)	.....525,463	.....578,355	.....578,355
BARCLAYS BANK PLC.....	G5GSEF7VJP517OUK5573...	Y.....	Y.....	.....10,135,000	.....	.....13,652,278	.....(3,848,697)	.....0	.....14,273,406	.....(3,772,920)	.....365,486	.....158,652	.....0
BNP PARIBAS.....	R0MUWSFPU8MPRO8K5P83	Y.....	Y.....	.....5,150,000	.....	.....5,463,354	-	.....313,354	.....5,463,355	-	.....313,355	.....137,782	.....137,782
CITIBANK NA.....	E57ODZWZ7FF32TWEFA76	Y.....	Y.....	.....2,750,115	.....	.....5,988,212	.....(3,200,813)	.....37,284	.....5,580,302	.....(3,029,178)	.....0	.....3,490,532	.....3,490,532
CREDIT AGRICOLE CIB.....	1VUV7VQFKUQSQJ21A208.	Y.....	Y.....	-	.....	.....4,875	.....(458,775)	.....0	.....91,679	.....(211,563)	.....0	.....205,658	.....0
DEUTSCHE BANK AG.....	7LTWFZYICNSX8D621K86..	Y.....	Y.....	.....3,435,973	.....	.....3,175,831	-	.....0	.....3,175,831	-	.....0	.....127,850	.....0
GOLDMAN SACHS INTERNATIONAL.....	W22LROWP2IHZNBB6K528	Y.....	Y.....	-	.....	.....2,949,102	.....(6,223,264)	.....0	.....2,949,102	.....(6,223,264)	.....0	.....2,021,144	.....0
JPMORGAN CHASE BANK N.A.....	7H6GLXDRUGQFU57RNE97	Y.....	Y.....	.....147,880,000	.....74,790,884	.....299,804,725	.....(75,700,651)	.....1,433,190	.....299,804,725	.....(75,700,651)	.....76,224,074	.....5,361,773	.....5,361,773
MORGAN STANLEY & CO INTL. PLC.....	4PQUHN3JPFGFNF3BB653.	Y.....	Y.....	-	.....	-	.....(35,686,467)	.....0	-	.....(35,686,467)	.....0	-	.....0
UBS AG.....	BFM8T61CT2L1QCEMIK50..	Y.....	Y.....	-	.....	.....88,525	-	.....88,525	.....63,216	-	.....63,216	.....6,793	.....6,793
WELLS FARGO BANK NA.....	KB1H1DSPRFMYMCUFXT09	Y.....	Y.....	.....760,000	.....	.....1,849,295	-	.....1,089,295	.....1,849,295	-	.....1,089,295	.....239,201	.....239,201
0299999999. Total NAIC 1 Designation.....				.....178,903,509	.....74,790,884	.....343,379,488	.....(126,204,075)	.....3,487,110	.....343,654,203	.....(125,709,451)	.....78,580,889	.....12,327,740	.....9,814,436
0999999999. Gross Totals.....				.....178,903,509	.....74,790,884	.....343,379,488	.....(126,204,075)	.....3,487,110	.....343,654,203	.....(125,709,451)	.....78,580,889	.....12,327,740	.....9,814,436
1. Offset per SSAP No. 64.....													
2. Net after right of offset per SSAP No. 64.....						.....343,379,488	.....(126,204,075)						

QE08

**Brighthouse Life Insurance Company of NY**

**SCHEDULE DB - PART D - SECTION 2**

Collateral for Derivative Instruments Open as of Current Statement Date

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
<b>Collateral Pledged by Reporting Entity</b>								
MORGAN STANLEY & CO INTERNATIONAL PLC.....	4PQUHN3JPFQFN3BB653...	CORPORATE.....	87612E BA 3 TARGET CORPORATION.....	5,760,692	4,936,000	5,029,226	07/01/2042.	IV.....
MORGAN STANLEY & CO INTERNATIONAL PLC.....	4PQUHN3JPFQFN3BB653...	CORPORATE.....	845011 AB 1 SOUTHWEST GAS CORP.....	5,870,511	5,466,000	5,463,308	06/01/2049.	IV.....
MORGAN STANLEY & CO INTERNATIONAL PLC.....	4PQUHN3JPFQFN3BB653...	CORPORATE.....	771196 BP 6 ROCHE HOLDINGS INC.....	5,331,219	4,791,000	4,789,134	09/17/2028.	IV.....
MORGAN STANLEY & CO INTERNATIONAL PLC.....	4PQUHN3JPFQFN3BB653...	CORPORATE.....	718546 AK 0 PHILLIPS 66.....	4,314,657	3,782,000	3,745,136	11/15/2034.	IV.....
MORGAN STANLEY & CO INTERNATIONAL PLC.....	4PQUHN3JPFQFN3BB653...	CORPORATE.....	482480 AJ 9 KLA CORP.....	1,354,564	1,408,000	1,488,149	03/01/2050.	IV.....
MORGAN STANLEY & CO INTERNATIONAL PLC.....	4PQUHN3JPFQFN3BB653...	CORPORATE.....	375558 BA 0 GILEAD SCIENCES INC.....	3,204,121	2,750,000	2,736,061	02/01/2045.	IV.....
MORGAN STANLEY & CO INTERNATIONAL PLC.....	4PQUHN3JPFQFN3BB653...	CORPORATE.....	235851 AV 4 DANAHER CORPORATION.....	1,877,113	2,134,000	2,112,250	10/01/2050.	IV.....
MORGAN STANLEY & CO INTERNATIONAL PLC.....	4PQUHN3JPFQFN3BB653...	CORPORATE.....	084659 AD 3 BERKSHIRE HATHAWAY ENERGY CO.....	3,865,599	3,594,000	3,593,792	02/01/2025.	IV.....
MORGAN STANLEY & CO INTERNATIONAL PLC.....	4PQUHN3JPFQFN3BB653...	CORPORATE.....	035240 AN 0 ANHEUSER-BUSCH INBEV WORLDWIDE INC.....	2,288,056	2,000,000	2,186,462	04/15/2048.	IV.....
MORGAN STANLEY & CO INTERNATIONAL PLC.....	4PQUHN3JPFQFN3BB653...	CORPORATE.....	00440E AQ 0 CHUBB INA HOLDINGS INC.....	3,489,569	3,063,000	3,056,643	03/13/2043.	IV.....
MORGAN STANLEY & CO INTERNATIONAL PLC.....	4PQUHN3JPFQFN3BB653...	CORPORATE.....	002824 BH 2 ABBOTT LABORATORIES.....	1,572,453	1,210,000	1,386,297	11/30/2046.	IV.....
0199999999. Totals.....				38,928,554	35,134,000	35,586,458	XXX	XXX
<b>Collateral Pledged to Reporting Entity</b>								
BARCLAYS BANK PLC.....	G5GSEF7VJP5I7OUK5573....	CASH.....	Cash.....	8,700,000	8,700,000	XXX		IV.....
BNP PARIBAS.....	R0MUWSFPU8MPRO8K5P83...	CASH.....	Cash.....	690,000	690,000	XXX		IV.....
BNP PARIBAS.....	R0MUWSFPU8MPRO8K5P83...	CASH.....	Cash.....	4,460,000	4,460,000	XXX		IV.....
DEUTSCHE BANK AG.....	7LTWFZYICNSX8D621K86....	CASH.....	Cash.....	830,000	830,000	XXX		IV.....
JPMORGAN CHASE BANK N.A.....	7H6GLXDRUGQFU57RNE97....	CASH.....	Cash.....	109,882,000	109,882,000	XXX		IV.....
JPMORGAN CHASE BANK N.A.....	7H6GLXDRUGQFU57RNE97....	CASH.....	Cash.....	37,998,000	37,998,000	XXX		IV.....
BARCLAYS BANK PLC.....	G5GSEF7VJP5I7OUK5573....	CASH.....	Cash.....	323,000	323,000	XXX		IV.....
WELLS FARGO BANK NA.....	KB1H1DSPRFMYMCFUXT09....	CASH.....	Cash.....	760,000	760,000	XXX		IV.....
CITIBANK NA.....	E57ODZWZ7FF32TWEFA76....	CORPORATE.....	24422E UB 3 JOHN DEERE CAPITAL CORP.....	2,750,115	2,552,000	XXX	01/06/2028.	IV.....
BANK OF AMERICA NA.....	B4TYDEB6GKMZO031MB27....	CORPORATE.....	29736R AJ 9 ESTEE LAUDER COMPANIES INC. (THE).....	258,658	238,000	XXX	03/15/2027.	IV.....
BANK OF AMERICA NA.....	B4TYDEB6GKMZO031MB27....	TREASURY.....	912828 W7 1 United States Treasury.....	5,332,433	5,065,000	XXX	03/31/2024.	IV.....
BANK OF AMERICA NA.....	B4TYDEB6GKMZO031MB27....	TREASURY.....	912828 XT 2 United States Treasury.....	3,201,330	3,029,000	XXX	05/31/2024.	IV.....
DEUTSCHE BANK AG.....	7LTWFZYICNSX8D621K86....	CORPORATE.....	037833 BX 7 APPLE INC.....	2,605,973	2,082,000	XXX	02/23/2046.	IV.....
BARCLAYS BANK PLC.....	G5GSEF7VJP5I7OUK5573....	CASH.....	Cash.....	1,112,000	1,112,000	XXX		IV.....
0299999999. Totals.....				178,903,509	177,721,000	XXX	XXX	XXX

QE09

**SCHEDULE DB - PART E**

**Derivatives Hedging Variable Annuity Guarantees as of the Current Statement Date**

This schedule is specific for the derivatives and the hedging programs captured in SSAP No. 108

CDHS		Hedged Item								Hedging Instruments								
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
Identifier	Description	Prior Fair Value in Full Contract Cash Flow Attributed to Interest Rate	Ending Fair Value in Full Contract Cash Flow Attributed to Interest Rates	Fair Value Gain (Loss) in Hedged Item Attributed to Interest Rates (4-3)	Fair Value Gain (Loss) in Hedged Item Attributed to Hedged Risk	Current Year Increase (Decrease) in VM-21 Liability	Current Year Increase (Decrease) in VM-21 Liability Attributed to Interest Rates	Change in the Hedged Item Attributed to Hedged Risk Percentage (6/5)	Current Year Increase (Decrease) in VM-21 Liability Attributed to Hedged Risk (8*9)	Prior Deferred Balance	Current Year Fair Value Fluctuation of the Hedge Instruments	Current Year Natural Offset to VM-21 Liability	Hedging Instruments' Current Fair Value	Hedge Gain (Loss) in Current Year Deferred Adjustment [12 - (13 + 14)]	Current Year Prescribed Deferred Amortization	Current Year Additional Deferred Amortization	Current Year Total Deferred Amortization (16 + 17)	Ending Deferred Balance (11 + 15 + 18)
<b>NONE</b>																		

QE10

**SCHEDULE DL - PART 1**

**SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned as of Current Quarter

(Securities lending collateral assets reported in aggregate on one Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E.)

1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
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General Interrogatories:

1. The activity for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
2. Average balance for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:  
 NAIC 1: \$.....0 NAIC 2: \$.....0 NAIC 3: \$.....0 NAIC 4: \$.....0 NAIC 5: \$.....0 NAIC 6: \$.....0

**NONE**

**SCHEDULE DL - PART 2**

**SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned as of Current Quarter

(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
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General Interrogatories:

1. The activity for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
2. Average balance for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0

**NONE**

# Brighthouse Life Insurance Company of NY

## SCHEDULE E - PART 1 - CASH

### Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount or interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
Bank of America, NA..... Charlotte, NC.....					(9,519,803)	(14,607,380)	(7,208,396)	XXX
DZ Bank..... New York, NY.....			268		500,000			XXX
JPMorgan Chase Bank, NA..... New York, NY.....					231,197,967	309,868,707	260,971,344	XXX
Morgan Stanley Bank NA..... New York, NY.....			306		284,051			XXX
Norinchukin Bank..... New York, NY.....				825	750,000	750,000	750,000	XXX
PNC Bank..... Pittsburgh, PA.....					15,002,384	15,003,555	15,003,979	XXX
Societe Generale SA..... New York, NY.....			568		500,003			XXX
Standard Chartered Bank..... New York, NY.....			336	535	500,012	250,005	250,002	XXX
US Bank..... Minneapolis, MN.....					8,208,741	10,926,169	4,872,543	XXX
Wells Fargo Bank..... Raleigh, NC.....					560,820	508,424	589,545	XXX
0199998. Deposits in.....2 depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories.....	XXX	XXX	102	58	153,565	154,207	154,207	XXX
0199999. Total Open Depositories.....	XXX	XXX	1,580	1,418	248,137,740	322,853,687	275,383,224	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	1,580	1,418	248,137,740	322,853,687	275,383,224	XXX
0599999. Total Cash.....	XXX	XXX	1,580	1,418	248,137,740	322,853,687	275,383,224	XXX

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
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**NONE**